


## Financial Disclosures

## Candidate Report

Mr. James C Cunningham III

State of Candidacy: NC | Candidacy Commenced: 06/17/2019

 Filed 08/13/2020 @ 7:06 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Self-Employment Income	Law Offices of J. Calvin Cunningham Lexington, NC	\$652.00
2	Self	Salary	WasteZero, Inc. Raleigh, NC	\$285,860.17
3	Spouse	Self-Employment Income	Self-employed educational consultant Raleigh, NC	> \$1,000
4	Spouse	Salary	State of North Carolina Raleigh, NC	> \$1,000
5	Self	Other (Legal fees)	751 South, Inc. Durham, NC	\$8,181.00
6	Self	Other (Legal fees)	WasteZero, Inc. Raleigh, NC	\$10,950.00

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>CC3 Holdings LLC</b> <i>Company:</i> CC3 Holdings LLC (Raleigh, NC) <i>Description:</i> Consulting Filer <i>comment:</i> Sole asset is checking account. Current balance is less than \$1,000.	Business Entity Limited Liability Company (LLC)	Self	None (or less than \$1,001)	Other, (Ordinary Business Income)	\$50,001 - \$100,000 Other \$73,648.50
2	<b>TD Ameritrade Brokerage Account</b>	Brokerage/Managed Account	Joint			
2.1	<b>TD Ameritrade</b> (Omaha, NE) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
2.2	<b>GOOG - Alphabet Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
2.3	<b>AMZN - Amazon.com, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
2.4	<b>BRK-B - Berkshire Hathaway Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
2.5	<b>FCAU - Fiat Chrysler Automobiles N.V.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.6	<b>MKL - Markel Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
2.7	<b>PNFP - Pinnacle Financial Partners, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
2.8	<b>V - Visa Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3	<b>TD Ameritrade - Roth IRA</b>	Retirement Plans IRA	Self			
3.1	<b>NRZ - New Residential Investment Corp</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

3.2	<b>TD Ameritrade</b> (Omaha, NE) Type: IRA Cash Accounts,	Bank Deposit Asset Type	Self Owner	\$1,001 - \$15,000	None, Type	None (or less than \$201) Income
4	<b>First Citizens Bank</b> (Raleigh, NC) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
5	<b>First National Bank</b> (Hermitage, PA) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
6	<b>Trailridge Financial - SEP IRA</b>	Retirement Plans IRA	Spouse			
6.1	<b><u>FSGGX</u> - Fidelity Global ex U.S. Index Fund</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.2	<b><u>FSPGX</u> - Fidelity Large Cap Growth Index Fund</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.3	<b><u>FLCOX</u> - Fidelity Large Cap Value Index Fund</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.4	<b><u>FXNAX</u> - Fidelity U.S. Bond Index Fund</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7	<b>Trailridge Financial - Brokerage Account</b>	Brokerage/Managed Account	Joint			
7.1	<b><u>FSGGX</u> - Fidelity Global ex U.S. Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.2	<b><u>FSPGX</u> - Fidelity Large Cap Growth Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.3	<b><u>FLCOX</u> - Fidelity Large Cap Value Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.4	<b><u>FXNAX</u> - Fidelity U.S. Bond Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.5	<b><u>FSMDX</u> - Fidelity Mid Cap Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	Fund	Asset Type	Owner	Value	Income Type	Income
7.6	<b>FSSNX - Fidelity Small Cap Index Fund</b>	Mutual Funds Mutual Fund	Owner	\$15,000	Investment Fund Excepted Investment Fund,	None (or less than \$201)
8	<b>Vanguard - Rollover IRA</b>	Retirement Plans IRA	Spouse			
8.1	<b>Vanguard</b> (Monroe, WI) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
8.2	<b>VFIAX - Vanguard 500 Index Fund Admiral Shares</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8.3	<b>VSMAX - Vanguard Small-Cap Index Fund Admiral Shares</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.4	<b>NOVN - Novan, Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
9	<b>Vanguard - SEP IRA</b>	Retirement Plans IRA	Self			
9.1	<b>Vanguard</b> (Monroe, WI) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
9.2	<b>AER - AerCap Holdings N.V.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.3	<b>GOOG - Alphabet Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.4	<b>AMZN - Amazon.com, Inc.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.5	<b>FCAU - Fiat Chrysler Automobiles N.V.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.6	<b>LUV - Southwest Airlines Co.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.7	<b>SYF - Synchrony Financial</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
10	<b>Vanguard - 529 Plan # 1</b> <i>Institution: Nevada</i>	Education Savings Plans 529 College Savings Plan	Self			
10.1	<b>Vanguard Interest Accumulation</b>	Mutual Funds	Self	\$50,001	Excepted	None (or less than \$201)

10.1	<b>Vanguard Interest Accumulation Portfolio</b>	Mutual Funds	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
	Asset	Asset Type	Owner	Value	Type	Income
11	<b>Vanguard - 529 Plan # 2</b> <i>Institution: Nevada</i>	Education Savings Plans 529 College Savings Plan	Self			
11.1	<b>Vanguard 500 Index Portfolio</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11.2	<b>Vanguard Interest Accumulation Portfolio</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
12	<b>Vanguard Brokerage Account</b>	Brokerage/Managed Account	Joint			
12.1	<b>Vanguard</b> (Monroe, WI) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

**Part 4a. Periodic Transaction Report Summary**

**Not required**

**Part 4b. Transactions**

**Not required**

**Part 5. Gifts**

**Not required**

**Part 6. Travel**

**Not required**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **No**

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jun 2010 to present	Other (Partner)	Law Office of J. Calvin Cunningham Lexington, NC	Partnership	None
2	Jan 2013 to present	Other (Member, Manager)	CC3 Holdings LLC Raleigh, NC	Other (Limited Liability Company)	None
3	Feb 2013 to Mar 2020	Other (Vice President, General Counsel, & Corporate Secretary)	WasteZero, Inc. Raleigh, NC	Corporation	None
4	Jun 2017 to Jun 2019	Other (Vice Chair)	Governor's Crime Commission Raleigh, NC	Other (State agency)	None
5	Jan 2017 to Sep 2018	Other (Board of Directors)	Exploris School Foundation Raleigh, NC	Nonprofit Organization	None
6	Jun 2018 to Dec 2018	Other (Secretary)	751 South Community Association Inc. Durham, NC	Nonprofit Organization	None
7	Jun 2018 to Dec 2018	Other (Secretary)	751 South Townhome Owners Association Inc. Durham, NC	Nonprofit Organization	None
8	Dec 2010 to present	Other (Independent Contractor)	751 South, Inc./Southern Durham Development, LLC Durham, NC	Corporation	Previously reported Dec. 2011 start date
9	Mar 2020 to Jun 2020	Other (Independent Contractor)	WasteZero, Inc. Raleigh, NC	Corporation	None

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	Law Offices of J. Calvin Cunningham Lexington, NC	Legal Services
2	CC3 Holdings, Inc. Raleigh, NC	Consulting
3	WasteZero, Inc. Raleigh, NC	Legal Services
4	751 South Inc. Durham, NC	Legal Services
5	Gene Davis Law Group PLLC Raleigh, NC	Legal Services

## Attachments & Comments

*No attachments added.*

*No comments added.*