


Financial Disclosures

Candidate Report

Mr. James C Cunningham III

State of Candidacy: NC | Candidacy Commenced: 06/17/2019

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Wages	Law Offices of J. Calvin Cunningham Lexington, NC	\$7,294.98
2	Self	Salary	WasteZero, Inc. Raleigh, NC	\$382,722.67
3	Spouse	Self-Employment Income	Self-employed educational consultant Raleigh, NC	> \$1,000
4	Spouse	Salary	State of North Carolina Raleigh, NC	> \$1,000
5	Spouse	Salary	Education Pioneers Boston, MA	> \$1,000
6	Self	Other (Business Income)	751 South, Inc. Durham, NC	\$48,000.00

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	CC3 Holdings LLC <i>Company:</i> CC3 Holdings LLC (Raleigh, NC) <i>Description:</i> Consulting <i>Filer comment:</i> Sole asset is checking account. Current balance is less than \$1,000.	Business Entity Limited Liability Company (LLC)	Self	None (or less than \$1,001)	Other, (Ordinary Business Income)	\$50,001 - \$100,000 Other \$82,632.00
2	WasteZero, Inc. <i>Strike price:</i> \$16.00 Neither <i>Expires:</i> 02/04/2024	Corporate Securities Stock Option	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3	TD Ameritrade Brokerage Account	Brokerage/Managed Account	Joint			
3.1	TD Ameritrade (Omaha, NE) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
3.2	GOOG - Alphabet Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
3.3	AMZN - Amazon.com, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3.4	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3.5	FCAU - Fiat Chrysler Automobiles N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
3.6	MKL - Markel Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3.7	PNFP - Pinnacle Financial Partners, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
3.8	V - Visa Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3.9	DIS - The Walt Disney Company	Corporate Securities	Joint	None (or	Dividends	\$201 - \$1,000

3.9	DIS - The Walt Disney Company Filer comment: Sold 8/2018	Corporate Securities Stock	Joint	None (or less than \$1,001) Value	Dividends, Capital Income Gains, Type	\$201 - \$1,000
3.10	HUN - Huntsman Corporation Filer comment: Sold 7/2018	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
3.11	PSX - Phillips 66 Filer comment: Sold 7/2018	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
4	TD Ameritrade - Roth IRA	Retirement Plans IRA	Self			
4.1	NRZ - New Residential Investment Corp.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5	First Citizens Bank (Raleigh, NC) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
6	First National Bank (Hermitage, PA) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7	Trailridge Financial - SEP IRA	Retirement Plans IRA	Spouse			
7.1	FSGGX - Fidelity Global ex U.S. Index Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.2	FSPGX - Fidelity Large Cap Growth Index Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.3	FLCOX - Fidelity Large Cap Value Index Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.4	FXNAX - Fidelity U.S. Bond Index Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8	Trailridge Financial - Brokerage Account	Brokerage/Managed Account	Joint			
8.1	FSGGX - Fidelity Global ex U.S. Index Fund	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.2	FSPGX - Fidelity Large Cap Growth Index Fund	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

8.3	FLCOX - Fidelity Large Cap Value Index Fund Asset	Mutual Funds Mutual Fund Asset Type	Joint Owner	\$1,001 - \$15,000 Value	Excepted Investment Fund, Income Type,	None (or less than \$201) Income
8.4	FXNAX - Fidelity U.S. Bond Index Fund	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8.5	FSMDX - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9	Vanguard - Rollover IRA	Retirement Plans IRA	Spouse			
9.1	Vanguard (Monroe, WI) Type: Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
9.2	VFIAX - Vanguard 500 Index Fund Admiral Shares	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.3	VSMAX - Vanguard Small-Cap Index Fund Admiral Shares	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.4	NOVN - Novan, Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
10	Vanguard - SEP IRA	Retirement Plans IRA	Self			
10.1	Vanguard (Monroe, WI) Type: Money Market Account,	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
10.2	VFIAX - Vanguard 500 Index Fund Admiral Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.3	VSGAX - Vanguard Small-Cap Growth Index Fund Admiral Share	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.4	AER - AerCap Holdings N.V.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
10.5	GOOG - Alphabet Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

10.6	AMZN - Amazon.com, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None, Income	None (or less than \$201)
10.7	FCAU - Fiat Chrysler Automobiles N.V.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	Income None (or less than \$201)
10.8	LUV - Southwest Airlines Co.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
10.9	SYF - Synchrony Financial	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
11	Vanguard - 529 Plan # 1 <i>Institution: Nevada</i>	Education Savings Plans 529 College Savings Plan	Self			
11.1	Vanguard 500 Index Portfolio	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11.2	Vanguard Interest Accumulation Portfolio	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12	Vanguard - 529 Plan # 2 <i>Institution: Nevada</i>	Education Savings Plans 529 College Savings Plan	Self			
12.1	Vanguard 500 Index Portfolio	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12.2	Vanguard Interest Accumulation Portfolio	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
13	Vanguard Brokerage Account	Brokerage/Managed Account	Joint			
13.1	Vanguard (Monroe, WI) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
14	WasteZero, Inc. <i>Description: Environmental Services (Raleigh, NC) Filer comment: Convertible note</i>	Other Securities	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jun 2010 to present	Other (Partner)	Law Office of J. Calvin Cunningham Lexington, NC	Partnership	None
2	Jan 2013 to present	Other (Member, Manager)	CC3 Holdings LLC Raleigh, NC	Other (Limited Liability Company)	None
3	Feb 2013 to present	Other (Vice President, General Counsel, & Corporate Secretary)	WasteZero, Inc. Raleigh, NC	Corporation	None
4	Jun 2017 to Jun 2019	Other (Vice Chair)	Governor's Crime Commission Raleigh, NC	Other (State agency)	None
5	May 2012 to Mar	Other (Member, Manager)	Historic Park Place LLC Raleigh, NC	Other (Limited Liability	None

#	Date	Position	Entity (Board of Directors)	Entity Name and Address	Entity Type	Comments
	2017 to Mar 2017			Raleigh, NC	Liability Company)	
	2017 to Sep 2018		Explois School Foundation	Raleigh, NC	Nonprofit Organization	None
7	Jun 2018 to Dec 2018	Other (Secretary)		751 South Community Association Inc. Durham, NC	Nonprofit Organization	None
8	Jun 2018 to Dec 2018	Other (Secretary)		751 South Townhome Owners Association Inc. Durham, NC	Nonprofit Organization	None
9	Dec 2011 to present	Other (Legal Counsel)		751 South, Inc./Southern Durham Development, Inc. Durham, NC	Corporation	None
10	Jul 2014 to Jun 2017	Other (Board of Directors)		The Exploris School Raleigh, NC	Educational Organization	None

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	Law Offices of J. Calvin Cunningham Lexington, NC	Legal Services
2	CC3 Holdings, Inc. Raleigh, NC	Consulting
3	WasteZero, Inc. Raleigh, NC	I serve as general counsel
4	Davis Schweizer PLLC Raleigh, NC	Legal Services
5	751 South Inc. Durham, NC	Legal Services
6	Gene Davis Law Group PLLC Raleigh, NC	Legal Services

#	Source	Legal Services Duties
8	751 South Inc. Durham, NC	Legal Services
9	Carolina Mitchell Fuquay-Varina, NC	Legal Services
10	Eva Lee Raleigh, NC	Legal Services
11	Gray Pass Winston-Salem, NC	Legal Services
12	Printcraft Inc. Lexington, NC	Legal Services
13	John Papanikolaou Winston-Salem, NC	Legal Services
14	Harbourpoint Charlotte, NC	Legal Services
15	Hanes Construction Co. Lexington, NC	Legal Services

Attachments & Comments

No attachments added.

No comments added.