

Financial Disclosures

Candidate Report

Ms. Sara Gideon

State of Candidacy: ME | Candidacy Commenced: 06/24/2019

 **Filed 08/13/2020 @ 11:46 PM**

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
-
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Berman & Simmons PA Lewiston, ME	> \$1,000
2	Self	Salary	State of Maine Augusta, ME	\$38,266.60
3	Self	Other (Miscellaneous Income)	Office of the State Controller Augusta, ME	\$2,380.00

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	KeyBank National Association (Freeport, ME) <i>Type: Checking,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
2	Bath Savings Institution (Freeport, ME) <i>Type: Savings,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3	Berman & Simmons, P.A. <i>Company: Berman & Simmons, P.A. (Lewiston, ME) Description: Law Firm</i>	Business Entity Limited Partnership (LP)	Spouse	\$250,001 - \$500,000	Interest,	\$15,001 - \$50,000
4	Investment in Residential Property <i>Description: Residential Property (Carrabasset Valley, ME)</i>	Real Estate Residential	Joint	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000
5	Fidelity Brokerage Account	Brokerage/Managed Account	Joint			
5.1	Fidelity (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	DFISX - DFA International Small Company Portfolio Institut	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
5.3	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
5.4	VCIT - Vanguard Intermediate-Term Corporate Bond Index Fu	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
5.5	DGS - WisdomTree Emerging	Mutual Funds	Joint	None (or	Excepted	\$2,501 - \$5,000

	Markets SmallCap Dividend Asset Fund	Exchange Traded Asset Fund/Note	Owner	less than Value (\$1,001)	Investment Income Type Fund,	Income
5.6	SCHE - Schwab Emerging Markets Equity ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
5.7	IVV - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
5.8	DFSCX - DFA U.S. Micro Cap Portfolio Institutional Class	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
5.9	DOMIX - Domini Impact International Equity Fund Investor S	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6	TD Ameritrade 529 College Savings Plan # 1 <i>Institution: State of Nebraska</i>	Education Savings Plans 529 College Savings Plan	Spouse			
6.1	Age-Based Socially Aware 17-18 Years	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7	TD Ameritrade 529 College Savings Plan # 2 <i>Institution: State of Nebraska</i>	Education Savings Plans 529 College Savings Plan	Spouse			
7.1	Age-Based Socially Aware 15-16 Years	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8	TD Ameritrade 529 College Savings Plan # 3 <i>Institution: State of Nebraska</i>	Education Savings Plans 529 College Savings Plan	Spouse			
8.1	Age-Based Socially Aware 11-12 Years	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9	Berman & Simmons, P.A. 401(K) Profit Sharing Plan	Retirement Plans Defined Contribution	Spouse			

	Asset	Contribution Pension Plan Asset Type	Owner	Value	Income Type	Income
9.1	<u>FXNAX</u> - Fidelity U.S. Bond Index Fund	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10	Maine Public Employees Retirement System	Retirement Plans Defined Benefit Pension Plan	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11	Fidelity IRA	Retirement Plans IRA	Spouse			
11.1	<u>AGG</u> - iShares Core U.S. Aggregate Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
11.2	<u>VCIT</u> - Vanguard Intermediate-Term Corporate Bond Index Fu	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
11.3	<u>DOMIX</u> - Domini Impact International Equity Fund Investor S	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
11.4	<u>ESGV</u> - Vanguard ESG U.S. Stock ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
11.5	<u>ESML</u> - iShares ESG MSCI USA Small-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
11.6	<u>VNQ</u> - Vanguard Real Estate Index Fund ETF Shares	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2018	Joint	Mortgage	None	4.375% (10 year Fixed/1 Year Arm)	\$100,001 - \$250,000	Bath Savings Institution Bath, ME	Mortgage on rental property
2	2008	Spouse	Other (Business Loan)	-	0.00% (on demand)	\$50,001 - \$100,000	People's United Bank Portland, ME	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Trustee	Bath Savings Institution Bath, ME	Company	None
2	Oct 2018 to	Other (Board Member)	The State Legislative	Nonprofit	None

#	Dates	Position Held	Entity	Entity Type	Comments
3	present May 2019 to present	Other (Maine Advisory Committee Member)	Leaders Foundation Centerville, MA U.S. Global Leadership Coalition Washington, DC	Organization Nonprofit Organization	None
4	Dec 2012 to present	Other (Elected Official)	State of Maine Augusta, ME	Other (Local Government)	None

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	State of Maine Augusta, ME	I serve as speaker, and represent District 48 of the Maine House of Representatives

Attachments & Comments

No attachments added.

No comments added.

Financial Disclosures

Candidate Report (Amendment 1)

Ms. Sara Gideon

State of Candidacy: ME | Candidacy Commenced: 06/24/2019

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- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Berman & Simmons PA Lewiston, ME	> \$1,000
2	Self	Salary	State of Maine Augusta, ME	\$37,995.72
3	Self	Other (Miscellaneous Income)	Office of the State Controller Augusta, ME	\$3,000.00

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	KeyBank National Association (Freeport, ME) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
2	Bath Savings Institution (Freeport, ME) <i>Type: Savings,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
3	Berman & Simmons, P.A. <i>Company: Berman & Simmons,</i> <i>P.A. (Lewiston,</i> <i>ME) Description: Law Firm</i>	Business Entity Limited Partnership (LP)	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
4	Investment in Residential Property <i>Description: Residential</i> <i>Property (Carrabasset Valley,</i> <i>ME)</i>	Real Estate Residential	Joint	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000
5	Fidelity Brokerage Account	Brokerage/Managed Account	Joint			
5.1	Fidelity (Boston, MA) <i>Type: Brokerage Sweep</i> <i>Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	DEMSEX - DFA Emerging Markets Small Cap Portfolio Instituti	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
5.3	DFISX - DFA International Small Company Portfolio Instituti	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
5.4	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
5.5	VEA - Vanguard FTSE Developed Markets Index	Mutual Funds Exchange Traded	Joint	\$1,001 - \$15,000	Excepted Investment	None (or less than \$201)

	Fund/ETF Sha Asset	Fund/Note Asset Type	Owner	Value	Fund, Income Type	Income
5.6	VWO - Vanguard FTSE Emerging Markets Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
5.7	VCIT - Vanguard Intermediate-Term Corporate Bond Index Fu	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
6	TD Ameritrade 529 College Savings Plan # 1 <i>Institution: State of Nebraska</i>	Education Savings Plans 529 College Savings Plan	Spouse			
6.1	SVSPX - State Street S&P 500 Index Fund Class N	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.2	VBMFX - Vanguard Total Bond Market Index Fund Investor Sha	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7	TD Ameritrade 529 College Savings Plan # 2 <i>Institution: State of Nebraska</i>	Education Savings Plans 529 College Savings Plan	Spouse			
7.1	VEXMX - Vanguard Extended Market Index Fund Investor Share	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.2	SSGLX - State Street Global Equity ex-U.S. Index Fund Clas	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.3	VBMFX - Vanguard Total Bond Market Index Fund Investor Sha	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8	TD Ameritrade 529 College Savings Plan # 3 <i>Institution: State of Nebraska</i>	Education Savings Plans 529 College Savings Plan	Spouse			
8.1	SVSPX - State Street S&P 500 Index Fund Class N	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment	None (or less than \$201)

	INDEX FUND CLASSIFICATION	Mutual Funds	Owner	Value	Investment Fund Income Type	Income
8.2	Asset VBMFX - Vanguard Total Bond Market Index Fund Investor Sha	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9	Berman & Simmons, P.A. 401(K) Profit Sharing Plan	Retirement Plans Defined Contribution Pension Plan	Spouse			
9.1	FSPSX - Fidelity International Index Fund	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
9.2	FSCRX - Fidelity Small Cap Discovery Fund	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.3	FSMAX - Fidelity Extended Market Index Fund	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
9.4	FXAIX - Fidelity 500 Index Fund	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
9.5	VREQX - Voya Real Estate Fund Class R6	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
9.6	FXNAX - Fidelity U.S. Bond Index Fund	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
10	Maine Public Employees Retirement System	Retirement Plans Defined Benefit Pension Plan	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities * Amended

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2018	Joint	Mortgage	None	4.375% (10 year Fixed/1 Year Arm)	\$100,001 - \$250,000	Bath Savings Institution Bath, ME	Mortgage on rental property
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Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Trustee	Bath Savings Institution Bath, ME	Company	None

#	Position Dates	Other (Board Member) Position Held	Entity	Organization Entity Type	None Comments
2	Oct 2018 to present	Other (Board Member)	The State Legislative Leaders Foundation Centerville, MA	Nonprofit Organization	None
3	May 2019 to present	Other (Maine Advisory Committee Member)	U.S. Global Leadership Coalition Washington, DC	Nonprofit Organization	None
4	Dec 2012 to present	Other (Elected Official)	State of Maine Augusta, ME	Other (Local Government)	None

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	State of Maine Augusta, ME	I serve as speaker, and represent District 48 of the Maine House of Representatives

Attachments & Comments

No attachments added.

No comments added.

Financial Disclosures

Candidate Report

Ms. Sara Gideon

State of Candidacy: ME | Candidacy Commenced: 06/24/2019

 **Filed 10/22/2019 @ 9:48 PM**

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3	Berman & Simmons, P.A. <i>Company: Berman & Simmons,</i> P.A. (Lewiston, ME) <i>Description: Law Firm</i>	Business Entity Limited Partnership (LP)	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
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8.2	VBMTX - Vanguard Total Bond Market Index Fund Investor Sha	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
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9.5	VREOQ - Voya Real Estate Fund Class R6	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
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10	Maine Public Employees Retirement System	Retirement Plans Defined Benefit Pension Plan	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

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#	Position Dates	Position Held	Entity	Entity Type	Comments
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2	Oct 2018 to present	Other (Board Member)	The State Legislative Leaders Foundation Centerville, MA	Nonprofit Organization	None
3	May 2019 to present	Other (Maine Advisory Committee Member)	U.S. Global Leadership Coalition	Nonprofit Organization	None

#	present Position Date 2012 to present	Committee member/ Position Held Other (Elected Official)	Coalition Washington, DC Entity State of Maine Augusta, ME	Organization Entity Type Government)	Comments

Part 9. Agreements

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Attachments & Comments

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