

Financial Disclosures

Candidate Report

Ms. Theresa A Greenfield

State of Candidacy: IA | Candidacy Commenced: 06/03/2019

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Wages	Colby Management Company Windsor Heights, Iowa	\$162,477.00
2	Spouse	Wages	Voice2News LLC St. Louis Park, MN	> \$1,000
3	Spouse	Wages	Welland Laike Communications Des Moines, Iowa	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Wells Fargo (Des Moines, Iowa) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
2	Vanguard Roth IRA	Retirement Plans IRA	Self			
2.1	VTTHX - Vanguard Target Retirement 2035 Fund Investor Shar	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3	Vanguard Joint Brokerage Account	Brokerage/Managed Account	Joint			
3.1	VIMAX - Vanguard Mid-Cap Index Fund Admiral Shares	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
3.2	VSMAX - Vanguard Small-Cap Index Fund Admiral Shares	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
3.3	VTSAX - Vanguard Total Stock Market Index Fund Admiral Sha	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
3.4	VTTHX - Vanguard Target Retirement 2035 Fund Investor Shar	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
4	Theresa Greenfield Cust Dane A Miller	UGMA/UTMA	Joint			
4.1	VFIAX - Vanguard 500 Index Fund Admiral Shares	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
5	Principal 401k	Retirement Plans Defined Contribution Pension Plan	Self			

5.1	PLSAX - Principal LargeCap S&P 500 Index Fund Class A	Mutual Funds Mutual Fund Asset	Self Owner	\$15,001 - \$50,000 Value	Excepted Income Investment Type Fund,	None (or less than \$201) Income
5.2	PMFNX - Principal MidCap S&P 400 Index Fund R-2 Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.3	PSSIX - Principal SmallCap S&P 600 Index Fund Institutional	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.4	PRRAX - Principal Real Estate Securities Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
5.5	PICAX - Principal International Small Company Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6	Ameritrade SEP IRA	Brokerage/Managed Account	Spouse			
6.1	BAC - Bank of America Corporation	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6.2	DXJ - WisdomTree Japan Hedged Equity Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.3	KBE - SPDR S&P Bank ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.4	XLP - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
6.5	JAGLX - Janus Henderson Global Life Sciences Fund Class T	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
6.6	PRCXX - Money Market Obligations Trust - Federated Prime C	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

6.7	SLMCX - Columbia Seligman Communications and Information F Asset	Mutual Funds Mutual Fund Asset Type	Spouse Owner	\$15,001 - \$50,000 Value	Excepted Income Investment Fund,	None (or less than \$201) Income
6.8	TRRHX - T. Rowe Price Retirement 2025 Fund	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6.9	Anheuser-Busch InBev Worldwide Senior Note M/W <i>Rate/Coupon: 4.38%</i> <i>Matures: 02/15/2021</i>	Corporate Securities Corporate Bond	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6.10	Broadcom Corp/Broadcom Cayman Senior Note Callable M/W <i>Rate/Coupon: 3.00%</i> <i>Matures: 01/15/2022</i>	Corporate Securities Corporate Bond	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6.11	General Motors Final C. Inc. Senior Note <i>Rate/Coupon: 3.45%</i> <i>Matures: 04/10/2022</i>	Corporate Securities Corporate Bond	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6.12	TD Ameritrade (Omaha, NE) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6.13	IJR - iShares Core S&P Small- Cap ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6.14	Illinois St GO BDS M/W <i>Rate/Coupon: 5.563%</i> <i>Matures: 02/01/2021</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
6.15	Southwest Airlines Co Note CLBL <i>Rate/Coupon: 2.65%</i> <i>Matures: 11/05/2020</i>	Corporate Securities Corporate Bond	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
7	Ameritrade - Roth IRA	Retirement Plans IRA	Spouse			

7.1	GIS - General Mills, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None, Income	None (or less than \$201)
7.2	^{Asset} PEP - PepsiCo, Inc.	Corporate Securities Stock	Owner Spouse	Value \$1,001 - \$15,000	Type None,	Income None (or less than \$201)
7.3	STZ - Constellation Brands, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
7.4	PRCXX - Money Market Obligations Trust - Federated Prime C	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.5	TRPHX - T. Rowe Price Retirement I 2025 Fund I Class	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.6	TD Ameritrade (Omaha, NE) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
8	Ameriprise - Roth Contributory IRA	Retirement Plans IRA	Self			
8.1	AQEAX - Columbia Disciplined Core Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9	Ameriprise - IRA	Retirement Plans IRA	Self			
9.1	TOLCX - DWS RREEF Global Infrastructure Fund - Class C	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.2	IVFCX - Federated International Strategic Value Dividend F	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.3	PEQCX - Putnam Equity Income Fund Class C	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10	Fidelity 401k	Retirement Plans Defined Contribution Pension Plan	Self			

10.1	VEXRX - Vanguard Explorer Fund Admiral Shares Asset	Mutual Funds Mutual Fund Asset Type	Self Owner	\$15,001 - \$50,000 Value	Excepted Income Investment Fund,	None (or less than \$201) Income
10.2	VIGAX - Vanguard Growth Index Fund Admiral Shares	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10.3	VTSAX - Vanguard Total Stock Market Index Fund Admiral Sha	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11	103.5 Acres Farmland <i>Description:</i> Farmland (Fairbault County, MN) <i>Filer comment:</i> Farmland owned with three siblings; parents receive rental income	Farm	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
12	Voice2 News LLC <i>Company:</i> Voice2 News LLC (St. Louis Park, MN) <i>Description:</i> Media	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000	Other, (Ordinary Business Income)	Other \$25,882.00
13	Welland Laike Communications <i>Company:</i> Welland Laike Communications (Des Moines, IA) <i>Description:</i> Communication Services	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2012 to Nov 2019	Other (President & Secretary)	Colby Management Company Windsor Heights, IA	Company	None
2	Sep 2012 to Nov 2019	Other (Secretary)	Warren County Economic Development Corporation Indianola, IA	Corporation	None
3	Dec 2013 to Nov 2019	Other (Manager/President)	Bridgewood Plaza Condominium Owners Association West Des Moines, IA	Company	None
4	Mar 2012 to Nov 2019	Other (Managing Director)	Colby Pine Tree LC Windsor Heights, IA	Other (Limited Liability Company)	None
5	May 2016 to Nov 2019	Other (Managing Director)	Colby's 4154 Urbandale LC Des Moines, IA	Other (Limited Liability Company)	None
6	Jun 2017 to Nov 2019	Other (Managing Director)	Colby's Prairie Cove LC Des Moines, IA	Other (Limited Liability Company)	None
7	Nov 2014 to Nov 2019	Other (Managing Director)	Colby's Six LC Windsor Heights, IA	Other (Limited Liability Company)	None

8	Mar 2012 to Nov 2019	Other (Managing Director)	Colby's Westbrook Plaza LC Windsor Heights, IA	Other (Limited Liability Company)	None
#	Dates	Position Held	Entity	Entity Type	Comments
9	Apr 2014 to Nov 2019	Other (Managing Director)	Colby's Bridgewood Plaza LC West Des Moines, IA	Other (Limited Liability Company)	None
10	Mar 2012 to Nov 2019	Other (Manager/Director)	Sherwood Forest Company Windsor Heights, IA	Company	None

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Aug 2005	Sambatek, Inc. Minnetonka, MN	Continuing participation in an employee benefit plan	Continued participation in defined contribution plan managed by Fidelity. Former employer no longer contributes to the plan.
2	Nov 2019	Colby Management Company Windsor Heights, Iowa	Continuation of payments by former employer	Health benefits will be paid through 12/31/2020.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	Colby Management Company Windsor Heights, IA	Real Estate/Property Management

Attachments & Comments

No attachments added.
No comments added.