


Financial Disclosures

Annual Report for Calendar 2017

The Honorable Heidi Heitkamp (Heitkamp, Heidi)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an **electronic** amendment to this report.

I **omitted** assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Sanford Health Bismarck, ND	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
1 ADSK Autodesk, Inc	Corporate	Joint	\$15,001	None	None (or less than \$201)

1	<u>ADSK</u> - Autodesk, Inc. (NASDAQ)	Corporate Securities Stock	Joint Owner	\$15,001 - \$50,000	None, Income Type	None (or less than \$201) Income
2	<u>BRK-B</u> - Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3	<u>BA</u> - The Boeing Company (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4	<u>ESRX</u> - Express Scripts Holding Company (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
5	<u>GSK</u> - GlaxoSmithKline plc (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6	<u>HOG</u> - Harley-Davidson, Inc. (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7	<u>HPQ</u> - Hewlett-Packard Company (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
8	<u>INTC</u>-Intel Corporation (NASDAQ)	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
9	<u>IBM</u> - International Business Machines Corporation (NYSE)	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
10	<u>JPM</u>-JPMorgan Chase & Co. (NYSE)	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
11	<u>MAT</u> - Mattel, Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
12	<u>MDU</u> - MDU Resources Group Inc. (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
13	<u>MRK</u> - Merck & Co. Inc.	Corporate	Joint	\$1,001 -	Dividends,	\$201 - \$1,000

	(NYSE) Asset	Securities Asset Type Stock	Owner	\$15,000 Value	Income Type	Income
14	NUAN - Nuance Communications, Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
15	ORCL - Oracle Corporation (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
16	PFE - Pfizer Inc. (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
17	DXC-DXC Technology Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
18	AAPL-Apple Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
19	E*TRADE Sweep Deposit Account (New York, New York) Type> Brokerage Sweep Account,	Bank Deposit	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
20	SGSCX-DWS Global Small Cap Growth S (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
21	JAENX-Janus Enterprise T (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
22	VGENX-Vanguard Energy Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
23	VGHCX-Vanguard Health Care Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
24	US Treasury Bond 20 year	Government	Joint	\$50,001 -	Interest,	\$201 - \$1,000

	inflation Asset Rate-Coupon Maturity	Securities Asset Type US	Owner	\$100,000 Value	Income Type	Income
		Treasury/Agency Security				
25	GOOG-Google Inc. (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
26	GOOGL - Google Inc. (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
27	AMAT - Applied Materials, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
28	BRK-A-Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
29	BRK-B - Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
30	ETFC-E*TRADE Financial Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
31	EEM-iShares MSCI Emerging Markets	Corporate Securities Stock	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
32	MDU - MDU Resources Group Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
33	OTTR - Otter Tail Corporation (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
34	SVU - SUPERVALU Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
35	VWO-Vanguard Emerging Markets Stock Idx ETF	Corporate Securities Stock	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
36	WMT-Wal-Mart Stores	Corporate	Self	\$15,001 -	Dividends,	\$201 - \$1,000

	Inc. (NYSE) Asset	Securities Asset Type Stock	Owner	\$50,000 Value	Income Type	Income
37	E*Trade Sweep Deposit Account	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
38	US Treasury Bond 20 year inflation Rate-Coupon Maturities	Government Securities US Treasury/Agency Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
39	JPMORGAN GEN MUNI E*TRADE CL (SEP)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
40	BRK-B - Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
41	BP - BP plc (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42	CAR - Avis Budget Group, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
43	MDT - Medtronic, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
44	BPTRX-Baron Partners Retail (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
45	BSCFX-Baron Small Cap Retail (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
46	E*trade Joint Checking with Daughter (New York City, NY) Type> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
47	JPMORGAN GEN MUNI	Corporate	Spouse	\$1,001 -	Dividends,	None (or less than \$201)

	E*TRADE CL Asset	Securities Asset Type Stock	Owner	\$15,000 Value	Income Type	Income
48	E*Trade (New York City , NY) Type> Money Market Account,	Bank Deposit	Joint	None (or less than \$1,001)	Interest,	None (or less than \$201)
49	E*Trade (New York City, NY) Type> Savings,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
50	FCNTX-Fidelity Contrafund (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
51	FSDCX-Fidelity Select Comms Equip Port (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
52	FDCPX-Fidelity Select Computers Portfolio (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
53	Wells Fargo (Bismarck, ND) Type> Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
54	EE BOND / SON Rate-Coupon> 4% Maturity> 01/01/19	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
55	EE BOND / SON Rate-Coupon> 4% Maturity> 01/01/19	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
56	EE BOND / SON Rate-Coupon> 4% Maturity> 01/01/2020	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
57	EE BOND / DAUGHTER	Government	Spouse	\$1,001 -	Interest,	None (or less than \$201)

	Rate-Coupon Asset Maturity	Securities Asset Type US	Owner	\$15,000 Value	Income Type	Income
	> 4% > 01/01/2020	Treasury/Agency Security				
58	EE BOND / DAUGHTER Rate-Coupon > 4% Maturity > 01/01/2020	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
59	EE BOND / DAUGHTER Rate-Coupon > 4% Maturity > 01/01/2020	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
60	SERIES I SAVINGS BOND Rate-Coupon > 1.48% Maturity > 04/01/2040	Government Securities US Treasury/Agency Security	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
61	Whole Life Insurance Policy Přovideř > Reliastar Life Insurance, Chicago IL	Life Insurance Whole	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
62	2 Mineral Acres in Williams County Deřcription > 2 acres (Williams County, ND)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	\$201 - \$1,000
63	Co Ownership of 1/4 of land in Stutsman County ND Deřcription > unimproved Land (Stutsman County, ND)	Real Estate Unimproved Land	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
64	LTINX-Principal LifeTime	Mutual Funds	Spouse	\$250,001 -	Excepted	None (or less than \$201)

Asset	Mutual Fund Asset Type	Owner	\$500,000 Value	Investment Income Type	Income
2015 Institutional Filer comment: There was a share Class change during calendar 2017 and it was previously listed as LTASX					
65 PTBAX - Principal LifeTime 2020 A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Joint	<u>JACTX</u>	Janus Henderson Forty T	Sale (Full)	08/28/2017	\$50,001 - \$100,000	This was previously reported as JAVLX. The fund reorganized 5/2/17 and is now reported as JACTX
2	Joint	<u>JAMRX</u>	Janus Henderson Research T	Sale (Full)	08/28/2017	\$15,001 - \$50,000	This was previously reported as JANSX. The fund reorganized on 5/2/17 And is now reported as JAMRX
3	Joint	<u>JORNX</u>	Janus Henderson Global Select T	Sale (Full)	08/28/2017	\$50,001 - \$100,000	--
4	Joint	<u>SCINX</u>	Deutsche CROCI International S	Sale (Full)	08/28/2017	\$1,001 - \$15,000	--
5	Self	<u>HFRO</u>	Highland Floating Rate Opportun	Sale (Full)	12/21/2017	\$50,001 - \$100,000	This was previously reported as HFRCX The Fund was reorganized and is now reported as HFRO

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Self	Mortgage	.75	2.625% (15 Years)	\$500,001 - \$1,000,000	Wells Fargo Bismarck, ND	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added*

No comments added*