


Financial Disclosures

Annual Report for Calendar 2015

The Honorable Heidi Heitkamp (Heitkamp, Heidi)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an **electronic** amendment to this report.

I **omitted** assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Sanford Health Bismarck, ND	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
1 B MORGAN CHEN MUNI	Corporate	Joint	\$1,001	Dividends	None (or less than \$201)

1	JPMORGAN GEN MONT ESTRADE CL	Corporate Securities Stock	Joint Owner	\$1,001 - \$15,000	Dividends, Income Type	None (or less than \$201) Income
2	ADSK - Autodesk, Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
3	BRK-B - Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
4	BA - The Boeing Company (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5	ESRX - Express Scripts Holding Company (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
6	GSK - GlaxoSmithKline plc (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7	HOG - Harley-Davidson, Inc. (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
8	HPQ - Hewlett-Packard Company (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9	INTC - Intel Corporation (NASDAQ)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
10	IBM - International Business Machines Corporation (NYSE)	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
11	JPM - JPMorgan Chase & Co. (NYSE)	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
12	MAT - Mattel, Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
13	MDU - MDU Resources	Corporate	Joint	\$15,001 -	Dividends,	\$201 - \$1,000

	Group Inc. (NYSE) Asset	Securities Asset Type Stock	Owner	\$50,000 Value	Income Type	Income
14	<u>MRK</u> - Merck & Co. Inc. (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15	<u>NUAN</u> - Nuance Communications, Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
16	<u>ORCL</u> - Oracle Corporation (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
17	<u>PFE</u> - Pfizer Inc. (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
18	<u>AAPL</u> - Apple Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
19	<u>SCINX</u> - DWS International S (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Excepted Investment Fund,	None (or less than \$201)
20	<u>SGSCX</u> - DWS Global Small Cap Growth S (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
21	<u>JAENX</u> - Janus Enterprise T (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
22	<u>JANSX</u> - Janus T (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$2,501 - \$5,000
23	<u>JORNX</u> - Janus Global	Mutual Funds	Joint	\$50,001 -	Dividends,	\$201 - \$1,000

	Select T (NASDAQ) Asset	Mutual Fund Asset Type	Owner	\$100,000 Value	Excepted Income Type Investment Fund,	Income
24	JAVLX - Janus Twenty T (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$5,001 - \$15,000
25	VGENX - Vanguard Energy Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500
26	VGHCX - Vanguard Health Care Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Dividends, Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000
27	US Treasury Bond 20 year inflation Rate-Cöüpöñ Mařureš	Government Securities US Treasury/Agency Security	Joint	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
28	ALU - Alcatel-Lucent (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
29	GOOG - Google Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
30	GOOGL - Google Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
31	AMAT - Applied Materials, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32	BRK-A - Berkshire	Corporate	Self	\$100,001 -	None,	None (or less than \$201)

	Hathaway Inc. (NYSE) Asset	Securities Asset Type Stock	Owner	\$250,000 Value	Income Type	Income
33	BRK-B - Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
34	ETFC - E*TRADE Financial Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
35	HMIN - Home Inns & Hotels Management Inc. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
36	EEM - iShares MSCI Emerging Markets	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
37	MDU - MDU Resources Group Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
38	OTTR - Otter Tail Corporation (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
39	SVU - SUPERVALU Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
40	VWO - Vanguard Emerging Markets Stock Idx ETF	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
41	WMT - Wal-Mart Stores Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
42	E*Trade Sweep Deposit Account	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Interest,	None (or less than \$201)
43	HFRCX - Highland Floating Rate Opportunities C (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
44	US Treasury Bond 20 year	Government	Self	\$50,001 -	Interest,	\$201 - \$1,000

	inflation Asset Rate-Coupon Maturity	Securities Asset Type US	Owner	\$100,000 Value	Income Type	Income
		Treasury/Agency Security				
45	JPMORGAN GEN MUNI E*TRADE CL (SEP)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
46	BRK-B - Berkshire Hathaway Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
47	BP - BP plc (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
48	CAR - Avis Budget Group, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
49	MDT - Medtronic, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
50	BPTRX - Baron Partners Retail (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	None, Excepted Investment Fund,	None (or less than \$201)
51	BSCFX - Baron Small Cap Retail (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
52	E*trade Joint Checking with Daughter (New York City, NY) Type> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
53	JPMORGAN GEN MUNI E*TRADE CL	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
54	E*Trade	Bank Deposit	Joint	None (or	Interest,	None (or less than \$201)

	(New York City , NY) Asset Type Type> Money Market Account,	Asset Type	Owner	less than Value \$1,001	Income Type	Income
55	E*Trade (New York City, NY) Type> Savings,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
56	FCNTX - Fidelity Contrafund (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends, Excepted Investment Fund,	\$5,001 - \$15,000
57	FSDCX - Fidelity Select Comms Equip Port (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
58	FDCPX - Fidelity Select Computers Portfolio (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends, Excepted Investment Fund,	\$5,001 - \$15,000
59	Wells Fargo (Bismarck, ND) Type> Savings,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
60	Wells Fargo (Bismarck, ND) Type> Checking,	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
61	EE BOND / SON Rate-Coupon> 4% Maturity> 01/01/19	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
62	EE BOND / SON Rate-Coupon> 4% Maturity> 01/01/19	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
63	EE BOND / SON	Government	Spouse	\$1,001 -	Interest,	None (or less than \$201)

	Rate-Coupon Asset Maturity	Securities Asset Type US	Owner	\$15,000 Value	Income Type	Income
	4% 01/01/2020	Treasury/Agency Security				
64	EE BOND / DAUGHTER Rate-Coupon 4% Maturity 01/01/2020	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
65	EE BOND / DAUGHTER Rate-Coupon 4% Maturity 01/01/2020	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
66	EE BOND / DAUGHTER Rate-Coupon 4% Maturity 01/01/2020	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
67	SERIES I SAVINGS BOND Rate-Coupon 1.48% Maturity 04/01/2040	Government Securities US Treasury/Agency Security	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
68	HH Bond (US Savings) Rate-Coupon 4.0% Maturity N/A	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
69	HH Bond (US Savings) Rate-Coupon 4% Maturity N/A	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
70	HH Bond (US Savings) Rate-Coupon 4% Maturity N/A	Government Securities US Treasury/Agency Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
71	Whole Life Insurance	Life Insurance	Self	\$50,001 -	None,	None (or less than \$201)

	Policy Asset Provider	Whole Asset Type	Owner	\$100,000 Value	Income Type	Income
	Reliastar Life Insurance, Chicago IL					
72	2 Mineral Acres in Williams County Description: 2 acres (Williams County, ND)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
73	Co Ownership of 1/4 of land in Stutsman County ND Description: unimproved Land (Stutsman County, ND)	Real Estate Unimproved Land	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
74	LTASX - Principal LifeTime 2015 R2 (NASDAQ)	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
75	PTBAX - Principal LifeTime 2020 A (NASDAQ)	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
76	ISHARES NYSE COMPOSITE ETF	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
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#	Owner	Ticker	Asset Name	Trans- action Type	Transac- tion Date	Amount	Comment
1	Joint	<u>VGHCX</u>	Vanguard Health Care Inv	Purchase	03/27/2015	\$1,001 - \$15,000	--
2	Joint	<u>JAENX</u>	Janus Enterprise T	Purchase	12/18/2015	\$1,001 - \$15,000	--
3	Joint	<u>JANSX</u>	Janus T	Purchase	12/18/2015	\$1,001 - \$15,000	--
4	Joint	<u>JAVLX</u>	Janus Twenty T	Purchase	12/18/2015	\$1,001 - \$15,000	--
5	Joint	<u>SGSCX</u>	Deutsche Global Small Cap S	Purchase	12/24/2015	\$1,001 - \$15,000	--
6	Joint	<u>VGEXX</u>	Vanguard Energy Inv	Purchase	12/28/2015	\$1,001 - \$15,000	--
7	Joint	<u>VGHCX</u>	Vanguard Health Care Inv	Purchase	12/28/2015	\$15,001 - \$50,000	--
8	Spouse	<u>BSCFX</u>	Baron Small Cap Retail	Purchase	12/04/2015	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	In- curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
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1	2013	Self	Mortgage	.75	2.625%	\$500,001 -	Wells Fargo	-
#	Yr- curred	Debtor	Type	Points	Rate (Term) Years)	Amount	Bismarck, ND Creditor	Comments

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added*

No comments added*