

FILED
APR 17 2012
OHIO ETHICS COMMISSION



OHIO ETHICS COMMISSION
FINANCIAL DISCLOSURE STATEMENT
Please type or print clearly in blue or black ink.

This statement is to be filed in 2012
 For the calendar year of 2011

I. PERSONAL CONTACT INFORMATION: All filers should provide the following information.

Name (Last) <i>Cordray</i>	First <i>Richard</i>	Middle <i>Adams</i>
[Redacted]		
Employer Street Address <i>U.S. Consumer Financial Protection Bureau</i>	City <i>Washington</i>	State <i>D.C.</i>
County	Employer Telephone Number <i>(202) 435-7404</i>	Zip <i>20552</i>

For office use only:
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 io

II. PUBLIC SERVICE—All filers should complete the relevant portions of this section.

A. PUBLIC AGENCY OR POLITICAL SUBDIVISION: I am filing this statement because I served in 2011 or 2012 with, or am a candidate to serve with:

Name of Public Agency or Political Subdivision: *Ohio Attorney General*

B. ELECTED OFFICIALS AND CANDIDATES (including appointees to elected office and candidates):

Office	Salary	Candidate in 2012						
Title of the office in which I serve, or served, or for which I am a candidate: <i>Ohio Attorney General</i>	<input type="checkbox"/> Less than \$16,000 <input checked="" type="checkbox"/> \$16,000 or more <i>(though I earned less, as my term ended on January 9, 2011)</i>	Yes <input type="checkbox"/> Date of first election (primary, special, or general) at which your candidacy will be voted on: <table style="margin-left: 20px;"> <tr> <td>Month</td> <td>Day</td> <td>Year</td> </tr> <tr> <td></td> <td></td> <td><i>2012</i></td> </tr> </table> <input type="checkbox"/> Incumbent <input type="checkbox"/> Write-in candidate	Month	Day	Year			<i>2012</i>
Month	Day	Year						
		<i>2012</i>						

C. EMPLOYEES AND APPOINTEES—My public position is or was:

- | | |
|---|--|
| <input type="checkbox"/> Department Director (or equivalent rank)
<input type="checkbox"/> Department Assistant Director (or equivalent rank)
<input type="checkbox"/> Department Deputy Director (or equivalent rank)
<input type="checkbox"/> Department Division Chief (or equivalent rank)
<input type="checkbox"/> Schedule "E-2" or "C" employee (State)
<input type="checkbox"/> School District or ESC Business Manager
<input type="checkbox"/> School District or ESC Superintendent
<input type="checkbox"/> School District or ESC Treasurer | <input type="checkbox"/> Sanitary District Board Member
<input type="checkbox"/> Board, Commission, or Retirement System Member
<input type="checkbox"/> Executive Director or Chief Executive Officer
<input type="checkbox"/> College or University Trustee
<input type="checkbox"/> College or University President
<input type="checkbox"/> Retired Judge Sitting By Assignment
<input type="checkbox"/> Court Magistrate <input type="checkbox"/> Full time <input type="checkbox"/> Part time
<input checked="" type="checkbox"/> Other—Title: <i>Ohio Attorney General</i> |
|---|--|

D. DATES OF PUBLIC SERVICE (Candidates do not complete unless already serving in a public position):

Start Date: Month Day Year Date: <i>0</i> <i>1</i> <i>0</i> <i>8</i> <i>2</i> <i>0</i> <i>0</i> <i>9</i>	End Date (if applicable): Month Day Year Date: <i>0</i> <i>1</i> <i>0</i> <i>9</i> <i>2</i> <i>0</i> <i>1</i> <i>1</i>
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E. MULTIPLE FILING POSITIONS: Complete if you are required to file a financial disclosure statement for any other public position.

Public Position: <i>multiple filing positions listed on insert within</i>	Start Date:
Public Agency:	End Date:

GENERAL INFORMATION

AM I REQUIRED TO FILE THIS FORM?

Yes, if you served at any time in 2011 or 2012, or are a candidate in 2012, for any of these positions:

	ELECTED OFFICES:	APPOINTEES AND EMPLOYEES:
S T A T E	<ul style="list-style-type: none"> • Governor • Lieutenant Governor • Attorney General • Auditor • Secretary of State • Treasurer • Member, State Board of Education (elected or appointed) 	<ul style="list-style-type: none"> • Department Director, Assistant Director, Deputy Director, Division Chief (or person of Equivalent Rank) • Member or Chief Executive Officer—State Board or Commission (see list at ethics.ohio.gov) • Member or Chief Executive Officer—Five state retirement systems • Member or designated employee—Ohio Retirement Study Council • State Employee paid under Schedule “E-2” or “C” • Administrator, Director of Investments, and CIO—BWC • Member—Ohio Workers’ Compensation Board of Trustees • Member or President—Public College or University Board of Trustees
L O C A L	<ul style="list-style-type: none"> • County Elected Office • City Elected Office • Member—School District Board of Education or Educational Service Center (ESC) governing board with a total student count of 12,000 or more (see list at ethics.ohio.gov) 	<ul style="list-style-type: none"> • Superintendent—School District or ESC • Treasurer—School District or ESC • Business Manager—School District or ESC • Member—Municipal School District Board of Education (Cleveland Metropolitan School District)

CANDIDATES: This is a personal Financial Disclosure Statement (FDS) that must be filed with the Ohio Ethics Commission *before* the election. Judicial candidates must file with the Board of Commissioners on Grievances and Discipline. You must file **regardless** of whether you spend money on your campaign. *This form is not the same as the campaign finance report listing campaign contributions and expenditures that is filed with the Secretary of State or County Board of Elections.*

WHY MUST I FILE?

- Filing is required by law – R.C. 102.02.
- Filing is part of the responsibility of choosing to hold or compete for public office or employment.
- Disclosure assists public servants to identify potential conflicts of interest.
- Disclosure allows citizens to become aware of potential conflicts of interest and increases confidence in government integrity and openness.
- Disclosure is required by law; however, a filer may be prohibited from accepting a particular item or thing of value whether or not the source or item is to be disclosed as required.

HOW OFTEN MUST I FILE? WHAT TIME PERIOD IS COVERED BY THIS STATEMENT?

- Financial disclosure is an annual requirement.
- No person is required to file more than one Financial Disclosure Statement for any one calendar year.
- Each FDS contains a complete calendar year of financial information.
 - Example:** A candidate in 2012 is required to file a statement for 2011—the last year with a complete calendar year of financial information—even though he or she was not a candidate in 2011.
- A person who leaves a filing position must file a statement in the year after leaving the position and to disclose financial information for his or her last year of public service.
 - Example:** A state employee who retires in June 2011 must file a statement in 2012 disclosing financial information for the complete *2011 calendar year*.

IS THIS STATEMENT A PUBLIC RECORD?

Yes, the statements filed by most public officials and employees are public records, except:

- For those required to file a confidential statement, please remember that portions of the statement that reflect the potential for conflict of interest are public records.

For your own security, **please do not disclose** your Social Security Number, credit card, bank account, or other financial account numbers with your financial disclosure statement or attachments. **Please do NOT send IRS statements.**

Multiple Filing Positions as Ohio Attorney General

Advisory Council of Alcohol and Drug Addictions Services

Central Benefits Health Care Foundation Board

Commissioners of the Sinking Fund

Family Violence Prevention Council

Government Contracting Advisory Council

Governor's Military Activation Task Force

Governor's Veterans Advisory Committee

Medical Mutual of Ohio Charitable Foundation

Ohio Center for Law Related Education

Ohio Council on Victims Services

Ohio Legal Assistance Foundation Board

Ohio Organized Crime Investigations Commission

Ohio Public Facilities Commission

Ohio Trafficking in Persons Study Commission

Reinsurance Commission

State Board of Deposit

State Emergency Response Commission

State Victims Assistance Advisory Board

WHAT IS MY FILING DEADLINE?

Candidate in 2012

(A person becomes a **candidate** when his or her name has been certified to the ballot. **Therefore, an incumbent who has not been certified for placement on a ballot by a board of elections must file a statement by April 16, 2012.**)

Thirty (30) days before the *earliest* primary, special, or general election where your name will appear on the ballot. For example:

<u>First Election</u>	<u>Deadline</u>
March 6 Primary	Monday, February 6, 2012
November 6 General	Monday, October 8, 2012

Write-in Candidate in 2012

Twenty (20) days before the *earliest* primary, special, or general election in which you are a candidate. For example:

<u>First Election</u>	<u>Deadline</u>
March 6 Primary	Wednesday, February 15, 2012
November 6 General	Wednesday, October 17, 2012

Appointee to a vacancy for an unexpired term of elected office in 2012

Within fifteen (15) days after qualifying for office. A person qualifies for office when he or she is sworn in to the office.

Any other person appointed, promoted, or employed to a filing position during 2012

<u>Employment, Promotion, or Appointment</u>	<u>Deadline</u>
Before February 15, 2012	Friday, April 16, 2012
After February 15, 2012	Within ninety (90) days of job action

ALL OTHERS

MONDAY, APRIL 16, 2012

The Ohio Ethics Commission must receive the statement, or the statement must have a U.S. postmark or date from a commercial delivery service, by the deadline. Faxed statements cannot be accepted.

WHAT ARE THE FILING FEES?

- Filing fees are based on the public position for which the individual is filing.
- Filing fees are listed at back of form above signature line.

WHAT ARE THE PENALTIES?

- There are penalties if you do not file your complete, factual statement by the applicable deadline.
- The late filing fee: \$10 for each day the statement is late (\$250 maximum late filing fee).
- Any person who fails to file a statement or who files a false statement may be subject to prosecution.

WHERE DO I SEND MY COMPLETED STATEMENT AND/OR GET HELP?

OHIO ETHICS COMMISSION
William Green Building, 30 West Spring Street, L3
Columbus, OH 43215-2256
(614) 466-7090
www.ethics.ohio.gov

Answer every required question. If any required question is unanswered, the statement is incomplete under the law and will be returned. If you have nothing to list, check the box indicating that you have nothing to list. You may be required to list the same information under more than one question. If you need more space to fully answer any question, attach additional sheets, with your name and the applicable question number(s) on each sheet.

1. INCOME: ALL filers MUST answer Question 1.

List every source of income, of any amount, that you received in 2011. Remember to list your employer as a source of income. Following each source of income, briefly describe the services you provided in return for the income.

“Income” includes gross income for federal income tax purposes, and interest and dividends on all governmental securities. Income also includes sources of income received by another person for your use or benefit. If you are the beneficiary of a trust, and you or the trust received income in 2011, you must disclose the trust **and** sources of income to the trust.

Amount of Income: You are **not required** to disclose the **amount** of income you receive from any source, **unless:**

- (a) The source is doing or seeking to do business with the public agency you server; or
- (b) The income is attributable to services or goods provided to a client or customer who is a "legislative agent." See R.C. 101.70 or contact the Joint Legislative Ethics Committee for a definition of "legislative agent."

PLEASE NOTE: The following financial disclosure filers must list only sources of income valued at over five hundred dollars (\$500) during 2011 and need not disclose the amount of any source of income:

- Trustee, College or University
- City Elected Officer, Candidate, or Appointee (salary less than \$16,000 per year)
- Board of Directors, Sanitary District, Member
- School board or ESC governing board, Member, Candidate, or Appointee

EXAMPLES:

SOURCE OF INCOME	Service Provided	Amount (disclose only if you meet (a) or (b))
A Your Public Employer	Your position	
B Smith & Jones Co., L.P.A.	Private law practice	
C Aggressive Growth Fund	Mutual fund	
D ABC Pension Fund	Retirement	
E XYZ Corporation	Stock dividends	\$45.00
F 123 Corporation	Dividends on stock held in account	
G Friendly National Bank	Interest on savings account	
H Smith Family Trust	Income received from trust	
I MNO Corporation	Investment dividends paid to trust	

You are **not required** to disclose:

- **Spouse’s Income** unless earned specifically for your use or benefit.
- **Clients or Customers:** You are **not** required to disclose the individual names of your clients, patients, or customers of a business or practice, just the business or practice that was the source of income to you.

I HAVE NO SOURCES OF INCOME.

SOURCE OF INCOME	Service Provided	Amount (disclose only if you meet (a) or (b))
A State of Ohio	Attorney General	
B Capital University (spouse)	Law Professor	
C J.P. Morgan Chase	interest on accounts	
D Massachusetts Mutual	dividend	
E Vanguard	dividends + capital gains	
F Huntington	dividends + capital gains	
G Janus	dividends	
H Legg Mason	dividends	
I Key	coupon interest on bonds	

If you need more space to fully answer the question, please attach additional sheet(s), with your name and the question number on the sheet.

2. GIFTS: ALL filers MUST answer Question 2.

List each **source** of a gift or multiple gifts valued at over **seventy-five dollars (\$75)** received by you in your own name or by any other person for your use or benefit in 2011. If the source of a gift valued at over \$75 is a **group** of persons, you are required to disclose the group as the source of the gift.

EXAMPLES:

- a. You received a \$100 gift certificate from your staff. You must list the staff as the source of a gift valued at over \$75.
- b. You received a box of gourmet steaks from a vendor. The value of the box, based on the Web site for the seller, is \$250. If you do not return the box of steaks, you must list the vendor as the source of a gift valued at over \$75.
- c. You received a birthday gift and a wedding gift, each valued at \$60, from a longtime friend. The friend does not live in Ohio or have a connection to your agency. You must disclose your friend as the source of gifts totaling over \$75.

You are **not required** to disclose:

- The nature of the gift;
- Gifts from most family members (spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, brothers- or sisters-in-law, sons- or daughters-in-law, parents-in-law) or from any person to whom you stand in the place of a parent;
- Gifts received by will or inheritance; or by distribution from a trust established by a spouse or ancestor.

PLEASE NOTE: The following financial disclosure filers must list only sources of gifts valued at over five hundred dollars (\$500) during 2011:

- Trustee, College or University
- City Elected Officer, Candidate, or Appointee (salary less than \$16,000 per year)
- Board of Directors, Sanitary District, Member
- School board or ESC governing board, Member, Candidate, or Appointee

These financial disclosure filers must list each **source** of a gift each source of a gift or multiple gifts valued at over **five hundred dollars (\$500)** received by you in your own name or by any other person for your use or benefit in 2011. If the source of a gift valued at over \$500 is a **group** of persons, you are required to disclose the group as the source of gift.

I HAVE NO SOURCES OF GIFTS THAT I AM REQUIRED TO LIST.

A Andrew + Holly Clubok (overnight stays)	D
B	E
C	F

3. IMMEDIATE FAMILY MEMBERS: ALL filers MUST answer Question 3.

List the names of your spouse (husband or wife) living in your household and any dependent children.

I HAVE NO IMMEDIATE FAMILY MEMBERS THAT I AM REQUIRED TO LIST.

HUSBAND OR WIFE LIVING IN HOUSEHOLD: Margaret M. Cordray	DEPENDENT CHILD: Holly E. Cordray
DEPENDENT CHILD: Daniel A. Cordray	DEPENDENT CHILD:

4. NAMES OF BUSINESSES: ALL filers MUST answer Question 4.

If you, your wife or husband living in your household, or any of your dependent children operates a business, list the name of the business.

EXAMPLES:

- a. You are a partner in a stockholding firm named Smith & Jones. You should list "Smith & Jones."
- b. Your spouse who lives with you owns an accounting firm called Ace Accounting. You should list "Ace Accounting."
- c. Your dependent child mows lawns under a business called Carl's Lawn Service. You should list "Carl's Lawn Service."

THERE ARE NO BUSINESS NAMES THAT I AM REQUIRED TO LIST.

A	C
B	D

5. LAND (REAL ESTATE): All filers **MUST** answer Question 5.

Excluding your personal residence or property you hold primarily for personal recreation, list all of your leasehold and ownership interests in land or real estate located in Ohio.

EXAMPLE: If you are a member of a limited liability company, or a partner in a partnership, that owns or leases real property in Ohio, you must disclose the property owned or leased by the company or partnership.

I HAVE NO REAL ESTATE THAT I AM REQUIRED TO LIST.

REAL ESTATE

(List address or, if address is unavailable, legal description and county)

A

B

C

6. CREDITORS (People or businesses to whom you owe money): All filers **MUST** answer Question 6.

List the name of any person or business residing or transacting business in Ohio to whom you owe, or owed, more than **one thousand dollars (\$1,000)** in your own name or in the name of any other person, during 2011. You must list anyone to whom you owed more than \$1,000 at any time during 2011, even if you paid the bill within the grace period.

EXAMPLES:

A	You Drive It Auto Lenders, Inc.	D	Student Loans Inc.
B	The Clothing Store Credit Card	E	Bill Jones
C	Yellow Bank Credit Card	F	Paula Cartwright

You are **not required** to disclose any person or business to whom you owed money if:

- The debt is secured on your personal residence or real estate used primarily for personal recreation or a mortgage, personal credit line, home equity loan, home equity line of credit, or other debt.
- The debt results from the ordinary conduct of your business or profession. **Example:** You own a lawn service company and owe money to your supplier of lawn chemicals and equipment. You are not required to disclose the supplier as a creditor because the debt results from the ordinary conduct of your business.

I HAVE NO CREDITORS THAT I AM REQUIRED TO LIST.

A U.S. Bank (credit card)

E Citi (credit card)

B Bank of America (credit card)

F

C American Express (credit card)

G

D Barclays Bank (credit card)

H

7. DEBTORS (People or businesses who owe money to you): ALL filers **MUST** answer Question 7.

List the names of any person or business residing or transacting business in Ohio who owes you, or has owed you more than **one thousand dollars (\$1,000)** in your own name or in the name of any other person for your use or benefit during 2011.

You are **not required** to disclose:

- Banks, building and loan associations, savings and loan association, or credit unions as debtors if the only money owed to you is money you deposited with the financial institution;
- The names of people who owe you money as the result of the ordinary conduct of your business or profession; or
- Clients or patients who owe you money if you are a lawyer, doctor, or psychologist.

I HAVE NO DEBTORS THAT I AM REQUIRED TO LIST.

A

D

B

E

C

F

8. INVESTMENTS: All filers **MUST** answer Question 8.

List the name of each **corporation, trust, business trust, partnership, or association**, incorporated or authorized to do business in Ohio, or transacting business in Ohio, in which you or any person for your use or benefit had an investment during 2011. Include investments even if you have also listed them as sources of income. Give a brief description of the nature of the investment.

- You are required to list any entity in which you had an investment valued at over **one thousand dollars [\$1,000]** (fair market value) as of December 31, 2011, or the date on which you disposed of the investment (whichever is earlier).
- Trust, Deferred Compensation, or Brokerage or Investment Account (such as 401(k) or 403(b)):** If you have any of these types of investments, even if they are managed by a broker or other professional, you must disclose any individual holdings within the investment that are valued at over \$1000:
 - Trust:** Examples C and D
 - Deferred Compensation:** Examples E, F, and G
 - Brokerage or Investment Account:** Examples H, I, J, K, L, M, and N

EXAMPLES:

CORPORATION, ETC.	<i>Nature of Investment</i>
A Aggressive Growth Fund	Mutual Fund
B XYZ Corporation	Common Stock
C Smith Family Trust	Beneficiary
D Planet Us Company	Stock in Smith Family Trust
E All American Fund	Deferred Compensation Mutual Fund
F Lifeplan 2030	Deferred Compensation Investment
G Stable Value Option	Ohio Deferred Compensation
H 123 Corporation	Stock in Investment Account
I Solidarity Fund	Mutual Fund in Investment Account
J Federated Investment Corp.	Individual Retirement Account
K Popular Company	Stock in IRA
L Top Investment Company	401(k) Account
M Happy Incorporated	Stock in 401(k) Account
N Go Green Foreign Fund	Mutual Fund in 401(k) Account

You are **not required** to disclose:

- Deposit or withdrawable share accounts (such as checking and savings accounts, and certificates of deposit (CDs)) with banks, building and loan associations, savings and loan associations, or credit unions; or
- Personal identifying information, such as social security or bank account numbers.

I HAVE NO INVESTMENTS THAT I AM REQUIRED TO LIST.

CORPORATION, ETC. Attach additional sheets as needed.	<i>Nature of Investment</i>
A Key Bank	IRA
B Columbia Fund	IRA
C PERS	Deferred Compensation ^[Stable Value Option] mutual fund retirement
D Key Banc	municipal bonds + mutual fund
E Northwestern Mutual	life insurance policy
F Massachusetts Mutual	life insurance policy
G J.P. Morgan Chase	savings + checking accounts
H Fifth Third	savings accounts
I Huntington Bank	bond fund
J Vanguard Funds	mutual funds

If you need additional space to fully answer this question, please attach additional sheet(s) with your name and the question number.

9. OFFICES AND FIDUCIARY RELATIONSHIPS: All filers **MUST** answer Question 9.

List the names of each **corporation, trust, business trust, partnership, or association**, incorporated or authorized to do business in Ohio, or transacting business in Ohio, in which you held an office or had a fiduciary relationship during 2011. Include any non-profit organization you serve as a board member or trustee.

A person has a “**fiduciary relationship**” with an entity if he or she has the authority to make decisions in the entity’s interest.

EXAMPLES:

CORPORATION, ETC.	Office or Nature of Relationship
A Buckeye Friends Trust	Trustee
B Smith & Jones Co., L.P.A.	Partner
C Smith Cleaning Company	Member, Board of Directors
D United Community Chest	Board Member, Non-profit organization
E Applied Science Incorporated	President and CEO

I HAVE NO OFFICES OR FIDUCIARY INTERESTS THAT I AM REQUIRED TO LIST.

CORPORATION, ETC. Attach additional sheets as needed.	Office or Nature of Relationship
A	
B	
C	
D	
E	
F	

SKIP QUESTIONS 10, 11, AND 12, AND GO TO QUESTION 13 (SIGNATURE) ON PAGE 10, IF YOU ARE FILING AS A:

- College or University Board of Trustees, Member
- City Elected Officer, Candidate, or Appointee (salary less than \$16,000 per year)
- Board of Directors, Sanitary District, Member
- School board or ESC governing board, Member, Candidate, or Appointee

ALL OTHER FILERS MUST ANSWER QUESTIONS 10, 11, AND 12.

10. FOOD OR BEVERAGES: List any source of payment of meals, food, or beverages that were: (1) valued at over one hundred dollars (\$100); and (2) received in 2011 in connection with your official duties. Remember to list the public agency you serve if it paid or reimbursed you for the cost of meals, food, or beverages valued at over \$100.

You are **not required** to disclose any person or entity that provided meals food or beverages to you:

- At a meeting where you participated in a panel, seminar, or speaking engagement;
- At a meeting or convention of a national or state organization to which any state agency, legislative agency, state institution of higher education, political subdivision, or office or agency thereof, pays membership dues; or
- Which you have included under Question #11 as travel expenses paid by your public agency.

I HAVE NO SOURCES OF MEALS, FOOD, OR BEVERAGES THAT I AM REQUIRED TO LIST.

A	D
B	E
C	F

Question #8 (continued)

INVESTMENTS

CORPORATION

- K. Janus Funds
- L. Legg Mason
- M. Huntington/AIG
- N. American Century Funds
- O. Fidelity Investments
- P. TIAA/CREF
- Q. Federal Thrift Savings Plan

NATURE OF INVESTMENT

- Mutual Funds
- Mutual Funds + IRA
- annuity
- IRA
- IRA
- retirement fund
- deferred compensation + retirement plan

11. TRAVEL EXPENSES: List the **source and amount** of every payment of travel expenses, incurred in connection with your official duties, for travel inside and outside of Ohio, and received in 2011. **You must list each payment or reimbursement separately.** You must list the source and amount of payments or reimbursements to you, or to any other person for your use or benefit. Examples of travel expenses include parking fees, lodging, airline tickets, and mileage reimbursements.

EXAMPLE: If you took one trip as a public employee, and your agency paid an airline directly for your plane ticket, you would be required to disclose the name of your agency and the amount the agency paid for the ticket. If the agency wrote one check reimbursing you for your other travel expenses, such as hotel and meals expenses, you would be required to disclose the agency as the source of another payment and the amount of the reimbursement check. Because these are two separate payments—one payment to the airline on your behalf and one reimbursement to you—you must list them separately even though the payments are from the same agency and for the same trip.

You may attach copies of reports filed with your public agency that itemize travel expenses for which you have been paid or reimbursed, if those reports list **every payment or reimbursement** of travel expenses from your public agency.

You are **not required** to disclose any source of travel expenses to you in connection with travel to a meeting or convention of a national or state organization to which any state agency, legislative agency, state institution of higher education, political subdivision or office or agency thereof, pays membership dues.

I HAVE NO SOURCES OF TRAVEL EXPENSES THAT I AM REQUIRED TO LIST.

SOURCE OF TRAVEL EXPENSES	<i>Amount of Travel Expenses</i>
A	
B	
C	
D	
E	
F	
G	
H	
I	

12. NON-DISPUTED INFORMATION:

ALL state employees, state officials and state board and commission members (except college and university officials) are REQUIRED to answer Question 12. If you are a local government official, please skip this question.

If you received a statement from a legislative agent, executive agency or retirement system lobbyist or the employer of such that identifies you as the recipient of expenditures made by that lobbyist or employer, and you do not dispute the information contained in the statement, attach a copy of the statement or list the non-disputed information below. If you dispute a legislative lobbying expenditure made in your name, please contact the Office of the Legislative Inspector General at (614) 728-5100. To dispute an executive or retirement system expenditure made in your name, please contact the Ohio Ethics Commission.

I HAVE NO INFORMATION THAT I AM REQUIRED TO LIST.

NON-DISPUTED INFORMATION
A
B

13. SIGNATURE: All filers MUST sign form.

By signing below, I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.

By signing below, I also acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Sections 102.02(D) and 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both. I also acknowledge and understand that filing a false statement may be grounds for removal from public office or dismissal from public employment, pursuant to Sections 3.04 and 124.34 of the Revised Code.

By signing below, I further acknowledge that I served during 2011 or 2012 in, or was a candidate in 2012 for, one of the following positions (please check the appropriate box):

- Superintendent, Treasurer, or Business Manager** of a city, local, exempted village, joint vocational, or cooperative education school district, or educational service center. *My \$30.00 filing fee is enclosed.*
- State official or employee.** *My public agency is required to pay my \$40.00 filing fee.*
- State elected office.** *My \$95.00 filing fee is enclosed.*
- State Board of Education.** *My \$25.00 filing fee is enclosed.*
- County elected office.** *My \$60.00 filing fee is enclosed.*
- City elected office.** *My \$35.00 filing fee is enclosed.*
- School district board of education member or educational service center governing board member** in a district or ESC with a total student count of 12,000 or more. *My \$30.00 filing fee is enclosed.*
- President or Trustee** of a public college or university. *My public agency is required to pay my \$40.00 filing fee.*
- Member, Board of Directors of Sanitary District.** *My \$40.00 filing fee is enclosed.*
- Voluntary filer.** *My \$40.00 filing fee is enclosed.*

(Checks or money orders should be made payable to "Ohio Ethics Commission." Please do NOT staple check to form!)

Before signing this statement, check to make sure you have answered **every question you are required to answer**. If you have nothing to list in a particular question, check the box indicating that you have nothing to list. If a response to any required question is omitted, the statement is incomplete under law and it will be returned to you to complete. **Persons who fail to file a complete statement by the appropriate deadline will be assessed a late filing fee and may be subject to criminal penalty.**

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

YOUR SIGNATURE IS REQUIRED HERE: _____ *Rubén Cordray* _____

Date of signature: 3/31/2012

Questions? Call the Ohio Ethics Commission at (614) 466-7090
Frequently Asked Questions: www.ethics.ohio.gov/FDS_faqs.html



FOR OFFICE USE ONLY REVIEWED BY *[Signature]*

FILER HAS ANSWERED EVERY REQUIRED QUESTION

FILER HAS NOT ANSWERED THESE QUESTIONS: _____

DATE FORM RETURNED TO FILER ____/____/____

DATE COMPLETED FORM RECEIVED AT OHIO ETHICS COMMISSION ____/____/____