

**CURRICULUM VITAE OF  
REGINALD L. THOMAS**

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**EDUCATION**

- 1978**                    **J.D. Harvard Law School**  
Cambridge, Massachusetts
- 1975**                    **B.A. Dartmouth College**  
Hanover, New Hampshire  
Magna cum Laude

**EMPLOYMENT**

- 1995 – Present**        **Assistant Professor**  
**Kentucky State University**  
**Frankfort, Kentucky**
- Teach courses in the areas of Criminal Justice, Criminal Procedure, Criminal Law and Business Law. Primary emphasis is to educate students on legal issues affecting society as well as to highlight legal rules and social values in the criminal and civil justice systems.
- 2014 - Present**        **Kentucky State Senator, Thirteenth District**
- Elected to serve in the State Senate of the Kentucky General Assembly. Represents the Thirteenth Senatorial District which includes the downtown business district, the University of Kentucky, Transylvania University, east central Lexington, and the north and western corridors of Lexington, e.g. the Georgetown Street and Meadowthorpe neighborhoods. Committee assignments are Education, State and Local Government, Health and Welfare, and Veterans, Military Affairs, and Public Protection.

**1984 – 1995**            **General Counsel**  
**Kentucky State University**  
**Frankfort, Kentucky**

Ensured university compliance with all federal, state and local laws. Responsible for advising Board of Regents and President on issues of legal significance and rendering written opinions when requested. Oversaw and coordinated all litigation involving the university and represented the university in all quasi-judicial administrative hearings.

**1980 – 1984**            **Assistant Professor**  
**University of Kentucky**  
**Lexington, Kentucky**

Taught courses in the areas of Securities Regulation, Corporate Finance, Business Associations, Business Planning and Accounting for Lawyers. Served on several university and law school committees related to student recruitment and scholarships. Also spoke at several continuing legal education seminars on the subject of corporate law.

**1978 – 1980**            **Staff Attorney**  
**Securities and Exchange Commission**  
**Division of Investment Management**  
**Washington, D.C.**

Examined applications for exemption filed under the Investment Company Act of 1940 and drafted comments upon the adequacy of applications. Participated in conferences between division staff and applicants' counsel to resolve questions and differences of opinion. Assisted in preparing for hearings in instances where the Division opposed an application for exemption. Assisted at administrative hearings.

#### **KENTUCKY STATE UNIVERSITY SERVICE**

2013                      Member, University Tenure and Promotion Committee

2009 – 2010            Member, Faculty Senate

2008 – 2009            Curriculum Revision for Criminal Justice

2004 – 2005 Member, Faculty Search Committee for Criminal Justice  
2002 – 2004 Member, Faculty Senate

### **PROFESSIONAL SERVICE**

2003 – Present Member, Board of Directors, The Plantory (formerly the Kentucky Conference for Community and Justice)  
2009 - Present Member, Board of Directors, Actors Guild of Lexington  
2007- 2012 Member, Lexington Convention and Visitors Commission  
2010 Member, Fayette County Census Count Committee  
2002 – 2007 Board of Directors, Bluegrass Community and Technical College  
2006 – Present Guest Lecturer, University of Kentucky Small Business Development Corp.  
2005 – Present Guest Lecturer, United Way of the Bluegrass  
Member, Kentucky Bar Association  
District of Columbia Bar Association  
Massachusetts Bar Association  
1985 Leadership Kentucky, Charter Class

### **EDUCATIONAL CIVIC ACTIVITIES**

2000 – 2004 President, Bryan Station Senior High School PTSA  
2003 Member, Fayette County School Superintendent Search Committee

### **HONORS**

2017 Kentucky Psychological Association Public Service Award  
2017 Dr. Rice C. Leach Lexington Public Health Hero Award  
2016 Kentucky Department of Nursing Citizen of the Year Award  
2016 Lexington Fairness Legislator of the Year

- 2014 Lexington-Fayette County NAACP Leadership Award
- 1993 Hall of Distinction, Charter Class, Bryan Station Senior High School

## PUBLICATIONS

- 1982:** Thomas and Roye, Regulation of Business Development Companies Under The Investment Company Act, 55 Southern California Law Review 895

This article described the purposes, impact, and intent by Congress when it passed The Small Business Investment Incentive Act of 1980. This Act enhanced the development of venture capital companies which provide much needed financing for promising but fledgling enterprises. In particular, the article focuses on the manner in which the Act eliminated impediments confronted by venture capital companies under The Investment Company Act of 1940 and The Investment Advisers Act of 1940.

- 1982:** Thomas, A New Look at 10b-5: The Sale of Business Doctrine, 33 Syracuse Law Review 999

This article explored the legal issue of whether sales of a controlling interest in stock constitute "security" transactions for purposes of invoking The Securities Act of 1933 and The Securities Brokerage Act of 1934. The conclusion reached in the article is that the economic realities of transactions involving sales of close corporations do not constitute "securities" transactions therefore triggering potential liabilities under the federal securities laws.

- 2010 - 11** Presentation of Kentucky Bar Association CLE Program, "The Lawyer And The Non-Profit Board", June 17, 2010

- 2010:** Published Opinion, Kentucky Supreme Court, Hammons v. Hammons, 327 S.W.3d 444 (Ky. 2010)

- 2014** "Kentucky must re-examine its stand your ground law", The Louisville Courier-Journal, July 13, 2014 (Op-Ed Article)

- 2013** "The conversation black parents still have with their children", The Lexington Herald-Leader, July 28, 2013 (Op-Ed Article)

- 2010:** "We can't allow Paul's views to reflect state," The Lexington Herald-Leader, May 26, 2010 (Op-Ed Article)
- 2004:** "'Brown' Case Shows Legal System at It's Best," The Lexington Herald-Leader, May 2, 2004 (Op-Ed Article)
- "Secrecy Key in Search for Schools Chief," The Lexington Herald-Leader, March 7, 2004 (Op-Ed Article)
- 2003:** "Bryan Station High School's Construction Needs to be on Fast Track," The Lexington Herald-Leader, September 29, 2003 (Op-Ed Article)