

United States Senate
Financial Disclosures

Annual Report for Calendar 2015

The Honorable Al S Franken (Franken, AI)

 Filed 05/16/2016 @ 3:30 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Pension	Producers-Writers Guild of America Pension Plan New York, NY	\$52,291.50
2	Self	Pension	American Federation of TV & Radio Artists New York, NY	\$15,490.00

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Wells Fargo (Minneapolis, MN) Type: Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	United States Senate Federal Credit Union (Washington, DC) Type: Checking,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
3	Broadway Video Inc Profit Sharing A 401(k) Plan 100% Mot Life Pool GIC	Retirement Plans Defined Benefit Pension Plan	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
4	FRIAX-Franklin Income Adv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
5	NBSLX - Neuberger Berman Socially Rspns Inst (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
6	ACETX - Invesco Equity and Income Y (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
7	Wells Fargo (Minneapolis, MN) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest,	None (or less than \$201)

	(NASDAQ)	Mutual Fund		\$50,000	Capital Gains, Excepted Investment Fund,	\$1,000
9	PVYX - Putnam Voyager Y (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
10	NBSLX - Neuberger Berman Socially Rspns Inst (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
11	JSOSX - JPMorgan Strategic Income Opps Sel (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
12	EAAIX - Wells Fargo Advantage Asset Alloc Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
13	FSRIX - Fidelity Advisor Strategic Income I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
14	PTTRX - PIMCO Total Return Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
15	FEVIX - First Eagle US Value I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500

	Allocation Instl (NASDAQ)	Mutual Fund		\$100,000	Capital Gains, Excepted Investment Fund,	\$15,000
17	FRIAX - Franklin Income Adv (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
18	Wells Fargo (Minneapolis, MN) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
19	BGNIX - BlackRock GNMA Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500
20	PPSIX - Principal Preferred Securities Inst (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500
21	AGTHX - American Funds Growth Fund of Amer A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
22	AWSHX - American Funds Washington Mutual A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains, Excepted Investment Fund,	\$201 - \$1,000
23	Wells Fargo (Minneapolis, MN) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)

	unsecured Primary creditor in 2005 Piquant LLC Bankruptcy Filing <i>Company:</i> Piquant LLC (Wilmington, DE)	Receivable From a Business		\$500,000		less than \$201)
25	Alan Franken Inc <i>Description:</i> A multi-media Professional Services Corporation including but not limited to writing, acting, producing and personal appearances in all film/video/digital/TV formats and all print, media, internet and Talk Shows (Minneapolis, MN)	Other Securities	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)
26	Alan Franken Inc Profit Sharing Plan <i>Description:</i> Profit Sharing Plan (Minneapolis, MN)	Other Securities	Joint			
26.1	FRIAX - Franklin Income Adv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
26.2	NBSLX - Neuberger Berman Socially Rspns Inst (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
26.3	MCIFX - Miller Convertible Bond I (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500
26.4	PVYYX - Putnam Voyager Y (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
26.5	PPSIX - Principal Preferred Securities Inst (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000

	Income Opps Sel (NASDAQ)	Mutual Fund		\$250,000	Capital Gains, Excepted Investment Fund,	\$5,000
26.7	FEVIX - First Eagle US Value I (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
26.8	MALOX - BlackRock Global Allocation Instl (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
26.9	BGNIX - BlackRock GNMA Instl (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Excepted Investment Fund,	\$2,501 - \$5,000
26.10	FSRIX - Fidelity Advisor Strategic Income I (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
26.11	PTTRX - PIMCO Total Return Instl (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
26.12	JP Morgan (New York, NY) <i>Type:</i> Money Market Account, <i>Filer comment:</i> Asset is JP Morgan's 100% US Treasury Securities Money Market Fund	Bank Deposit	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
26.13	GNMA Pool 003923M 11-20-2036 <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000

	<i>Rate/Coupon:</i> <i>Matures:</i>	Securities US Treasury/Agency Security		\$15,000		\$1,000
26.15	GNMA 2 3968M 7% 3- 20-2037 <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
26.16	EAAIX - Wells Fargo Advantage Asset Alloc Instl (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Excepted Investment Fund,	\$5,001 - \$15,000
26.17	DBLTX - DoubleLine Total Return Bond I	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
26.18	VADDX - Invesco Equally-Wtd S&P 500 Y	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$2,501 - \$5,000
26.19	RWJ - Oppenheimer Small Cap Revenue ETF	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	None (or less than \$201)
26.20	PONPX - PIMCO Income P	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$2,501 - \$5,000
26.21	EZM - WisdomTree MidCap Earnings ETF	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
27	GNMA Pool 781530X 12-15-2032 <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
28	GNMA Pool 003956M 2-20-2037 <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000

	<i>Rate/Coupon:</i> <i>Matures:</i>	Securities US Treasury/Agency Security		\$15,000		\$1,000
30	GNMA Pool 003923M 11-20-2036 <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Self	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
31	GNMA Pool 003956M 2-20-2037 <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Self	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
32	<u>ACTDX</u> - Invesco High Yield Muni Y (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
33	<u>DBLTX</u> - DoubleLine Total Return Bond I (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
34	<u>DHS</u> - WisdomTree Equity Income ETF (NYSEArca)	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
35	<u>EZM</u> - WisdomTree MidCap Earnings ETF (NYSEArca)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
36	<u>NOBL</u> - ProShares S&P 500 Dividend Aristocrats (NYSEArca)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
37	<u>PONPX</u> - PIMCO Income P (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends, Capital Gains, Excepted Investment Fund,	\$15,001 - \$50,000

	ETF (NYSEArca)	Mutual Fund		\$100,000	Excepted Investment Fund,	\$1,000
39	ABTYX - AB High Income Municipal Advisor	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
40	DBLTX - DoubleLine Total Return Bond I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
41	RWJ - Oppenheimer Small Cap Revenue ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Excepted Investment Fund,	None (or less than \$201)
42	PONPX - PIMCO Income P	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$1,001 - \$2,500
43	EZM - WisdomTree MidCap Earnings ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
44	VADDX - Invesco Equally-Wtd S&P 500 Y	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
45	ANGIX - Angel Oak Multi-Strategy Income Instl	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$2,501 - \$5,000
46	BWLYX - American Beacon Bridgeway Lg Cp Val Y	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Excepted Investment Fund,	\$2,501 - \$5,000

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	MALOX	BlackRock Global Allocation Instl	Purchase	12/16/2015	\$1,001 - \$15,000	--
2	Self	FEVIX	First Eagle US Value I	Purchase	12/18/2015	\$1,001 - \$15,000	--
3	Self	NBSLX	Neuberger Berman Socially Rspns Inst	Purchase	12/18/2015	\$1,001 - \$15,000	--
4	Self	PTTRX	PIMCO Total Return Instl	Purchase	12/17/2015	\$1,001 - \$15,000	--
5	Self	PVYYX	Putnam Voyager Y	Purchase	12/08/2015	\$1,001 - \$15,000	--
6	Self	EAAIX	Wells Fargo Asset Allocation Inst	Purchase	12/29/2015	\$1,001 - \$15,000	--
7	Self	EAAIX	Wells Fargo Asset Allocation Inst	Purchase	12/14/2015	\$1,001 - \$15,000	--
8	Self	VADDX	Invesco Equally-Wtd S&P 500 Y	Purchase	05/18/2015	\$15,001 - \$50,000	--
9	Self	DBLTX	DoubleLine Total Return Bond I	Purchase	05/18/2015	\$15,001 - \$50,000	--
10	Self	PONPX	PIMCO Income P	Purchase	05/18/2015	\$15,001 - \$50,000	--
11	Self	RWJ	Oppenheimer Small Cap Revenue ETF	Purchase	05/18/2015	\$1,001 - \$15,000	--
12	Self	RWJ	Oppenheimer Small Cap Revenue ETF	Purchase	05/18/2015	\$1,001 - \$15,000	--
13	Self	EZM	WisdomTree MidCap Earnings ETF	Purchase	05/18/2015	\$15,001 - \$50,000	--
14	Self	PTTRX	PIMCO Total Return Instl	Sale (Partial)	05/18/2015	\$15,001 - \$50,000	--
15	Self	JSOSX	JPMorgan Strategic Income Opps Sel	Sale (Partial)	05/18/2015	\$15,001 - \$50,000	--
16	Self	BGNIX	BlackRock GNMA Instl	Sale (Partial)	05/18/2015	\$15,001 - \$50,000	--
17	Joint	ACETX	Invesco Equity and Income Y	Purchase	12/14/2015	\$1,001 - \$15,000	--
18	Joint	ACETX	Invesco Equity and Income Y	Purchase	12/14/2015	\$1,001 - \$15,000	--
19	Joint	ANGIX	Angel Oak Multi-Strategy Income Instl	Purchase	12/31/2015	\$1,001 - \$15,000	--

20	Joint	BWLYX	American Beacon Bridgeway Lg Cp Val Y	Purchase	12/23/2015	\$1,001 - \$15,000	--
21	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	12/01/2015	\$1,001 - \$15,000	--
22	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	11/02/2015	\$1,001 - \$15,000	--
23	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	10/01/2015	\$1,001 - \$15,000	--
24	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	09/01/2015	\$1,001 - \$15,000	--
25	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	08/03/2015	\$1,001 - \$15,000	--
26	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	07/01/2015	\$1,001 - \$15,000	--
27	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	06/01/2015	\$1,001 - \$15,000	--
28	Joint	NBSLX	Neuberger Berman Socially Rspns Inst	Purchase	12/18/2015	\$1,001 - \$15,000	--
29	Joint	NBSLX	Neuberger Berman Socially Rspns Inst	Purchase	12/18/2015	\$1,001 - \$15,000	--
30	Joint	PONPX	PIMCO Income P	Purchase	12/30/2015	\$1,001 - \$15,000	--
31	Joint	PONPX	PIMCO Income P	Purchase	12/01/2015	\$1,001 - \$15,000	--
32	Joint	PONPX	PIMCO Income P	Purchase	11/02/2015	\$1,001 - \$15,000	--
33	Joint	PONPX	PIMCO Income P	Purchase	10/01/2015	\$1,001 - \$15,000	--
34	Joint	PONPX	PIMCO Income P	Purchase	09/01/2015	\$1,001 - \$15,000	--
35	Joint	PONPX	PIMCO Income P	Purchase	08/03/2015	\$1,001 - \$15,000	--
36	Joint	PONPX	PIMCO Income P	Purchase	07/01/2015	\$1,001 - \$15,000	--
37	Joint	BWLYX	American Beacon Bridgeway Lg Cp Val Y	Purchase	08/28/2015	\$15,001 - \$50,000	--
38	Joint	NOBL	ProShares S&P 500 Dividend Aristocrats	Purchase	08/28/2015	\$15,001 - \$50,000	--
39	Joint	NOBL	ProShares S&P 500 Dividend Aristocrats	Purchase	08/28/2015	\$1,001 - \$15,000	--
40	Joint	ABTYX	AB High Income Municipal Advisor	Purchase	08/03/2015	\$15,001 - \$50,000	--

41	Joint	ANGIX	Angel Oak Multi-Strategy Income Instl	Purchase	07/31/2015	\$50,001 - \$100,000	--
42	Joint	BWLYX	American Beacon Bridgeway Lg Cp Val Y	Purchase	07/31/2015	\$15,001 - \$50,000	--
43	Joint	ACTDX	Invesco High Yield Muni Y	Purchase	05/18/2015	\$100,001 - \$250,000	--
44	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	05/18/2015	\$100,001 - \$250,000	--
45	Joint	EZM	WisdomTree MidCap Earnings ETF	Purchase	05/18/2015	\$50,001 - \$100,000	--
46	Joint	EZM	WisdomTree MidCap Earnings ETF	Purchase	05/18/2015	\$15,001 - \$50,000	--
47	Joint	PONPX	PIMCO Income P	Purchase	05/18/2015	\$100,001 - \$250,000	--
48	Joint	RWJ	Oppenheimer Small Cap Revenue ETF	Purchase	05/18/2015	\$15,001 - \$50,000	--
49	Joint	RWJ	Oppenheimer Small Cap Revenue ETF	Purchase	05/18/2015	\$1,001 - \$15,000	--
50	Joint	EAAIX	Wells Fargo Asset Allocation Inst	Purchase	12/29/2015	\$1,001 - \$15,000	--
51	Joint	EAAIX	Wells Fargo Asset Allocation Inst	Purchase	12/14/2015	\$1,001 - \$15,000	--
52	Joint	FEVIX	First Eagle US Value I	Purchase	12/18/2015	\$1,001 - \$15,000	--
53	Joint	JSOSX	JPMorgan Strategic Income Opps Sel	Purchase	04/29/2015	\$1,001 - \$15,000	--
54	Joint	MALOX	BlackRock Global Allocation Instl	Purchase	12/16/2015	\$1,001 - \$15,000	--
55	Joint	NBSLX	Neuberger Berman Socially Rspns Inst	Purchase	12/18/2015	\$1,001 - \$15,000	--
56	Joint	PONPX	PIMCO Income P	Purchase	12/30/2015	\$1,001 - \$15,000	--
57	Joint	PTTRX	PIMCO Total Return Instl	Purchase	12/17/2015	\$1,001 - \$15,000	--
58	Joint	PVYYX	Putnam Voyager Y	Purchase	12/08/2015	\$1,001 - \$15,000	--
59	Joint	PVYYX	Putnam Voyager Y	Purchase	12/08/2015	\$1,001 - \$15,000	--
60	Joint	VADDX	Invesco Equally-Wtd S&P 500 Y	Purchase	12/14/2015	\$1,001 - \$15,000	--
61	Joint	EZM	WisdomTree MidCap Earnings ETF	Purchase	05/20/2015	\$50,001 - \$100,000	--

62	Joint	VADDX	Invesco Equally-Wtd S&P 500 Y	Purchase	05/18/2015	\$50,001 - \$100,000	--
63	Joint	DBLTX	DoubleLine Total Return Bond I	Purchase	05/18/2015	\$50,001 - \$100,000	--
64	Joint	PONPX	PIMCO Income P	Purchase	05/18/2015	\$50,001 - \$100,000	--
65	Joint	RWJ	Oppenheimer Small Cap Revenue ETF	Purchase	05/18/2015	\$15,001 - \$50,000	--
66	Joint	RWJ	Oppenheimer Small Cap Revenue ETF	Purchase	05/18/2015	\$1,001 - \$15,000	--
67	Joint	BGNIX	BlackRock GNMA Instl	Sale (Partial)	05/18/2015	\$1,001 - \$15,000	--
68	Joint	FSRIX	Fidelity Advisor Strategic Income I	Sale (Partial)	05/18/2015	\$1,001 - \$15,000	--
69	Joint	JSOSX	JPMorgan Strategic Income Opps Sel	Sale (Partial)	05/18/2015	\$1,001 - \$15,000	--
70	Joint	PTTRX	PIMCO Total Return Instl	Sale (Partial)	05/18/2015	\$1,001 - \$15,000	--
71	Spouse	AGTHX	American Funds Growth Fund of Amer A	Purchase	12/23/2015	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel** **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

						\$500,000	Minneapolis, MN	reports was refinanced in June 2015, which is reflected here.
2	2013	Joint	Other (Secured Line of Credit)	-	LIBOR+3% (N/A)	\$50,001 - \$100,000	Wells Fargo Bank Minneapolis, MN	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 1991 to present	Other (President/Joint Owner/Employee)	Alan Franken Inc Minneapolis, MN	Other (Professional Services Corp)	
2	Feb 2013 to present	Other (Board Member)	United States Holocaust Memorial Washington, DC	Nonprofit Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Jan 1991	Alan Franken Inc Profit Sharing Plan Minneapolis, MN	Continuing participation in an employee benefit plan	Retirement Plan
2	Aug 1975	American Federation of TV & Radio Artists New York, NY	Continuing participation in an employee benefit plan	Union Defined Benefit Plan
3	Aug 1975	Writer's Guild of America New York, NY	Continuing participation in an employee benefit plan	Union Defined Benefit Plan
4	Jan 1983	Screen Actors Guild Los Angeles, CA	Continuing participation in an employee benefit plan	Union Defined Benefit Plan

		Publishing New York, NY		
6	Jun 1990	The Lazear Agency Hudson, WI	Compensation Agreement	Distribution of Royalties for books, in all Print media, Digital & Audio Formats
7	Aug 1991	Dell Publishing, Div. of Bantam, Doubleday Dell New York, NY	Royalty Agreement	Royalties for: Book, "Stuart Saves His Family"
8	Aug 1994	Delacourt Press, Dv. of Bantam, Doubleday Dell New York, NY	Royalty Agreement	Royalties for: Book, "Rush Limbaugh is a Big Fat Idiot"
9	Feb 1996	Delacourt Press, Div. of Bantam, Doubleday Dell New York, NY	Royalty Agreement	Royalties for: Book, "Why Not Me"
10	Mar 1999	Bantam Doubleday Dell Audio Publishing New York, NY	Royalty Agreement	Royalties, for audio book only: Book, "Why Not Me"
11	Jan 2001	Dutton, Div Penguin Putnam Inc. New York, NY	Royalty Agreement	Royalties for: Book, "Oh The Things I Know"
12	Sep 2002	Dutton, Div Penguin Putnam Inc. New York, NY	Royalty Agreement	Royalties for: Book, "Lies and the Lying Liars Who Tell Them"
13	Jun 2004	Dutton, Div Penguin Putnam Inc. New York, NY	Royalty Agreement	Royalties for: Book, "The Truth with Jokes"
14	Jan 1976	EP Entertainment Los Angeles, CA	Other (Payroll Service)	Payroll Service for Multiple Entertainment Business Vendors Residual/Fees
15	Sep 1975	NBC Universal/Vivendi Universal Universal City, CA	Compensation Agreement	Residuals Performing, Writing, Producing (Multiple Clients, NBC TV Programs, Saturday Night Live, etc.)
16	Jun 2004	NBC Enterprises/Saturday Night Live/Bally Interact. Beverly Hills, CA	Other (Merchandising)	Saturday Night Live Merchandising
17	Aug 1975	Writer's Guild Of America East New York, NY	Compensation Agreement	Saturday Night Live Residuals
18	Feb 2008	Writer's Guild of America West Los Angeles, CA	Royalty Agreement	Distribution of Foreign Copyright Royalties (Writing, Multi Various Movie and TV projects)
19	Jun 1990	Disney World Wide Services Glendale, CA	Compensation Agreement	Residuals: Writing & Performing in a Film: "When a Man Loves a Woman"

21	Jun 1983	Paramount Pictures Los Angeles, CA	Compensation Agreement	Residuals: Cameo Appearance in a Film: "Trading Places"
22	Jan 2004	Paramount Pictures Los Angeles, CA	Compensation Agreement	Residuals: Cameo Appearance in a Film: "Manchurian Candidate"

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.