

STATEMENT OF ECONOMIC INTERESTS

NAME Ralph S. Northam		Candidate for Election to this office? ___ YES ___ X ___ NO	
OFFICE OR POSITION HELD OR SOUGHT Lieutenant Governor			
AGENCY/BUSINESS NAME Commonwealth of Virginia		PHONE 804-786-2078	
AGENCY/BUSINESS ADDRESS P.O. Box 1195			
CITY Richmond	STATE VA	ZIP 23218	
NAMES OF MEMBERS OF IMMEDIATE FAMILY Pam (wife)			

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

- Offices and Directorships.
Are you or a member of your immediate family a paid officer or paid director of a business?

YES [NO]

If yes, complete Schedule A
- Personal Liabilities.
Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)

[YES] NO

If yes, complete Schedule B
- Securities.
Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.

[YES] NO

If yes, complete Schedule C
- Payment for Talks, Meetings, and Publications.
During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$100 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?

YES [NO]

If yes, complete Schedule D
- Gifts.
During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$50, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.

YES [NO]

If yes, complete Schedule E
- Salary and Wages.
List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.) If no reportable salary or wages, check here

Lynnhaven River Now (Wife, Pam), Children's Specialty Group LLC (founding partner medical practice),

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7. Business Interests. [YES] NO
Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of \$5,000 in a business?
If yes, complete Schedule F
8. Payments for Representation and Other Services.
- 8A. Did you represent, excluding activity defined as lobbying in [2.2-419](#), any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past six months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.) YES [NO]
If yes, complete Schedule G-1
- 8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in [2.2-419](#), any businesses before any state governmental agency for which total compensation was received during the past six months in excess of \$1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.) YES [NO]
If yes, complete Schedule G-2
- 8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of \$1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B. YES [NO]
If yes, complete Schedule G-3
9. Real Estate.
- 9A. State Officers and Employees.
Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than \$5,000 in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust. [YES] NO
If yes, complete Schedule H-1
- 9B. Local Officers and Employees.
Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than \$5,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust. YES [NO]
If yes, complete Schedule H-2
10. Real Estate Contracts with Governmental Agencies
Do you or a member of your immediate family hold an interest valued at more than \$5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than \$1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership exceeds three percent of the total equity of the business. YES [NO]
If yes, complete Schedule I

Statements of Economic Interests are open for public inspection.

AFFIRMATION BY ALL FILERS.

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Ralph S. Northam (Electronically Signed: 6/14/2016 6:05:27 PM UTC)

SIGNATURE OF FILER

6/14/2016

DATE

SCHEDULE B

PERSONAL LIABILITIES

NAME:

Ralph S. Northam

OFFICE OR POSITION HELD OR SOUGHT:

Lieutenant Governor

Report personal liability by checking each category. Report only debts in excess of \$5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

CHECK APPROPRIATE CATEGORIES:	CHECK ONE	
	\$5,001 TO \$50,000	MORE THAN \$50,000
Banks		X
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses: (State principal business activity for each creditor and its name.)		

Individual creditors: (State principal business or occupation for each creditor and its name.)		

2. The personal debts of the members of my immediate family are as follows:

CHECK APPROPRIATE CATEGORIES:	CHECK ONE	
	\$5,001 TO \$50,000	MORE THAN \$50,000
Banks		
Savings institutions		
Other loan or finance companies		
Insurance companies		
Stock, commodity or other brokerage companies		
Other businesses: (State principal business activity for each creditor and its name.)		

Individual creditors: (State principal business or occupation for each creditor and its name.)		

Statement of Economic Interests

SCHEDULE C

SECURITIES

NAME:

Ralph S. Northam**OFFICE OR POSITION HELD OR SOUGHT:****Lieutenant Governor**

"SECURITIES" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. **"SECURITIES"** EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, CHECK HERE ____.

NAME OF ISSUER	TYPE OF SECURITY (STOCK, BONDS, MUTUAL, ETC.)	CHECK ONE		
		5,001 to 50,000	50,001 to 250,000	More than 250,000
Loomis Sayles Global Bond	Mutual Fund		X	
ING	403B	X		
Vanguard	Health Savings Account	X		
Charles Schwab C 6 %	Mutual Fund	X		
WST Asset Manager	Mutual Fund	X		
Ishares TR EAFE	Mutual Fund	X		
Deutsche US Bd Index	Mutual Fund	X		
Ishares Russell 1000	Mutual Fund	X		
US Cellular	Stock	X		
American Beacon Lg Cap	Mutual Fund	X		
Fidelity Cash Reserves	Mutual Fund		X	
Schwab S&P 500	Mutual Fund	X		
First Eagle High Yield	Mutual Fund	X		
Vanguard Bond Index	Mutual Fund		X	
IShares MSCI EAFE	Mutual Fund	X		
Wisdom Tree Large Cap	Mutual Fund	X		
Fidelity Diversified	Mutual Fund	X		
Fidelity Emerging	Mutual Fund		X	
IShares Russell Midcap ETF	Mutual Fund	X		

Pimco High Yield	Mutual Fund		X
Pimco Total Return	Mutual Fund		X
IShares Core S&P 500	Mutual Fund		X
IShares Russell 2000 ETF	Mutual Fund		X
IShares Russell Midcap Value	Mutual Fund	X	
IShares 2000 Value	Mutual Fund	X	
Vodafone	Stock	X	
Dominion Res	Stock	X	
Mondelez International	Stock	X	
Coca Cola	Stock	X	
Dupont	Stock	X	
General Electric	Stock	X	
Verizon Communication	Stock	X	
XCEL Energy	Stock	X	
BP Prudhoe	Stock	X	
Farm Bureau	Life Insurance Cash Value	X	
Vanguard Short Term	Mutual Fund		X
Pepco Holdings	Stock	X	
Eli Lilly	Stock	X	
Pepsico	Stock	X	
Norfolk Southern	Stock	X	
Johnson & Johnson	Stock		X
Kimberly Clark	Stock		X
Caterpillar	Stock		X
Dreyfus Muni Bond	Mutual Fund	X	
Deutsche Muni Bond	Mutual Fund	X	
Massachusetts Investors Trust	Mutual Fund	X	
US Treasury Note	Bond	X	
United States C 695	Equity	X	
Schwab US Large	ETF/Mutual	X	
AT&T	Stock	X	

Chevron	Stock	X	
Wisdom Tree Small Cap	Mutual Fund	X	
Vanguard Short Term Bond	Mutual Fund		X
Vanguard Index FDS	Mutual Fund		X
Vanguard INTL Equity	Mutual Fund	X	
Bank of America	Stock	X	
Fidelity MSCI Healthcare	Mutual Fund	X	
Kraft Heinz	Stock	X	

Statement of Economic Interests

SCHEDULE F

BUSINESS INTERESTS

NAME:

Ralph S. Northam

OFFICE OR POSITION HELD OR SOUGHT:

Lieutenant Governor

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise give the address of each property. Account for business interests held in trust.

NAME OF BUSINESS, CORPORATION, PARTNERSHIP, FARM; ADDRESS OF RENTAL PROPERTY	CITY OR COUNTY AND STATE	NATURE OF ENTERPRISE (FARMING, LAW, RENTAL PROPERTY, ETC.)	GROSS INCOME		
			50,000 or less	50,001 to 250,000	More than 250,000
REDACTED	Virginia Beach, Virginia	Rental Home	X		
REDACTED	Onancock, Virginia	Farm Lease	X		
Childrens Specialty Group	Norfolk, Virginia	Medical Practice			X

Statement of Economic Interests

SCHEDULE H-1

REAL ESTATE^{3/4}STATE OFFICERS AND EMPLOYEES ONLY

NAME:

Ralph S. Northam

OFFICE OR POSITION HELD OR SOUGHT:

Lieutenant Governor

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than \$5,000. Each parcel shall be listed individually.

LIST EACH LOCATION (STATE AND COUNTY OR CITY) WHERE YOU OWN REAL ESTATE.	DESCRIBE THE TYPE OF REAL ESTATE YOU OWN IN EACH LOCATION (BUSINESS, RECREATIONAL, APARTMENT, COMMERCIAL, OPEN LAND, ETC.)	IF THE REAL ESTATE IS OWNED OR RECORDED IN A NAME OTHER THAN YOUR OWN, LIST THAT NAME.
REDACTED	Vacation Home	Co-owner with Joseph H. Conduff
REDACTED	Farmland, Barn	Co-owner with Thomas L. Northam
REDACTED	Farmland, House	Co-owner with Thomas L. Northam

ATTACHMENTS