

Financial Disclosures

Annual Report for Calendar 2015

The Honorable Timothy M Kaine (Kaine, Tim)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Commonwealth of Virginia Richmond, VA	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income</u>	
					<u>Type</u>	<u>Income</u>
1	IRA Managed by Morgan Stanley	Retirement Plans IRA	Spouse			
1.1	<u>SGENX</u> - First Eagle Global A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	Morgan Stanley Consultant Advisor Account	Brokerage/Managed Account	Joint			
2.1	<u>LSBDX</u> - Loomis Sayles Bond Instl (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
2.2	<u>TGBAX</u> - Templeton Global Bond Adv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.3	<u>OAKBX</u> - Oakmark Equity & Income I (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3	<u>GE</u> - General Electric Company (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4	Wells Fargo (Richmond, VA) Type: Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
5	IRA managed by Morgan Stanley	Retirement Plans IRA	Self			
5.1	<u>SGIIX</u> - First Eagle Global I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
5.2	<u>MDISX</u> - Franklin Mutual Global Discovery Z (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
5.3	<u>LSBDX</u> - Loomis Sayles Bond Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5.4	MEDIX - MFS Emerging Markets Debt I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.5	ODVYX - Oppenheimer Developing Markets Y <i>Filer comment: Reported previously in years 2012-2014 as Oppenheimer Developing Markets A instead of Oppenheimer Developing Markets Y</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.6	TGBAX - Templeton Global Bond Adv (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.7	VWINX - Vanguard Wellesley Income Inv (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
5.8	VO - Vanguard Mid-Cap ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.9	VB - Vanguard Small-Cap ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
6	Fidelity UTMA Account	UGMA/UTMA Account	Child			
6.1	FGRIX - Fidelity Growth & Income Portfolio (NASDAQ)	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7	Virginia R. Holton Grandchildren's Trust <i>Filer comment:</i> The assets in this trust are held for our three children and their 7 cousins. The values represent total trust holdings.	Trust General (Trust)	Child			
7.1	AMX - America Movil S.A.B. de C.V.	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.2	I - AT&T, Inc. (NYSE)	Corporate Securities Stock	Child	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.3	BMJ - Bristol-Myers Squibb Company (NYSE)	Corporate Securities Stock	Child	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.4	CVX - Chevron Corporation (NYSE)	Corporate Securities Stock	Child	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
7.5	KO - The Coca-Cola Company (NYSE)	Corporate Securities Stock	Child	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
7.6	DUK - Duke Energy Corporation (NYSE)	Corporate Securities Stock	Child	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.7	XOM - Exxon Mobil Corporation (NYSE)	Corporate Securities Stock	Child	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.8	GE - General Electric Company (NYSE)	Corporate Securities Stock	Child	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.9	IBM - International Business Machines Corporation (NYSE)	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.10	KMB - Kimberly-Clark Corporation (NYSE)	Corporate Securities Stock	Child	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7.11	KMI - Kinder Morgan, Inc. <i>Filer comment: KMI acquired Kinder Morgan Energy Partners L.P. in late 2014</i>	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
7.12	<u>SPLS</u> - Staples, Inc. (NASDAQ)	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.13	<u>TXT</u> - Textron Inc. (NYSE)	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.14	<u>TICC</u> - TICC Capital Corp. (NASDAQ)	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.15	Morgan Stanley Bank, N.A. (New York, NY) <i>Type: Savings,</i>	Bank Deposit	Child	\$15,001 - \$50,000	Interest,	None (or less than \$201)
7.16	ACINX - Columbia Acorn International Z <i>Filer comment: Reported previously in years 2012-2014 as Columbia Acorn International Select instead of Columbia Acorn International Z.</i>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
7.17	<u>FRVAX</u> - Franklin VA Tax-Free Income A (NASDAQ)	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.18	<u>HYH</u> - Halyard Health, Inc. (NYSE)	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.19	<u>SLRC</u> - Solar Capital Ltd.	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	<u>BPRIX</u>	BlackRock Inflation Protected Bond Instl	Sale (Full)	02/03/2015	\$15,001 - \$50,000	--
2	Self	<u>TGBAX</u>	Templeton Global Bond Adv	Sale (Partial)	02/03/2015	\$15,001 - \$50,000	--
3	Self	<u>RYSEX</u>	Royce Special Equity Invmt	Sale (Full)	02/03/2015	\$15,001 - \$50,000	--
4	Self	<u>LSBDX</u>	Loomis Sayles Bond Instl	Purchase	02/04/2015	\$15,001 - \$50,000	--
5	Self	<u>VO</u>	Vanguard Mid-Cap ETF	Purchase	02/04/2015	\$15,001 - \$50,000	--
6	Self	<u>VB</u>	Vanguard Small-Cap ETF	Purchase	02/04/2015	\$15,001 - \$50,000	--
7	Self	<u>SGIIX</u>	First Eagle Global I	Purchase	07/13/2015	\$1,001 - \$15,000	--
8	Self	<u>LSBDX</u>	Loomis Sayles Bond Instl	Sale (Partial)	07/13/2015	\$15,001 - \$50,000	--
9	Self	<u>MDISX</u>	Franklin Mutual Global Discovery Z	Purchase	07/13/2015	\$1,001 - \$15,000	--
10	Self	<u>ODVYX</u>	Oppenheimer Developing Markets Y	Purchase	07/13/2015	\$1,001 - \$15,000	--
11	Self	<u>VO</u>	Vanguard Mid-Cap ETF	Purchase	07/13/2015	\$1,001 - \$15,000	--
12	Self	<u>VB</u>	Vanguard Small-Cap ETF	Purchase	07/13/2015	\$1,001 - \$15,000	--
13	Self	<u>DIFIX</u>	MFS Diversified Income I	Sale (Full)	07/14/2015	\$15,001 - \$50,000	--
14	Self	<u>SGIIX</u>	First Eagle Global I	Purchase	07/15/2015	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
15	Self	<u>MEDIX</u>	MFS Emerging Markets Debt I	Purchase	07/15/2015	\$1,001 - \$15,000	--
16	Self	<u>MDISX</u>	Franklin Mutual Global Discovery Z	Purchase	07/15/2015	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **Yes**

#	Date(s)	Traveler(s)	Travel Type	Itinerary	Reimbursed For	Who Paid	Comment
1	09/17/2015 to 09/20/2015	Self, Spouse	Outside activity	DC to St. Augustine Florida and return	Flights, lodging, ground transportation, and meals	United States - Spain Council Falls Church, Virginia	

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	4% (15 years)	\$50,001 - \$100,000	Wells Fargo San Francisco, CA	Mortgage on personal residence

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2010 to present	Other (Board Member)	Myotonic Dystrophy Foundation San Francisco, CA	Nonprofit Organization	Volunteer

#	Position Dates	Position Held	Entity	Entity Type	Comments
2	Nov 2013 to present	Other (Honorary Chairman)	United States Spain Council Falls Church, Virginia	Nonprofit Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.