



# COMMONWEALTH of VIRGINIA

*Secretary of the Commonwealth*

POST OFFICE BOX 2454

RICHMOND, VIRGINIA 23218-2454

May 20, 2016

Allan Blutstein  
Vice President, FOIA Operations  
America Rising  
1555 Wilson Boulevard, Suite 307  
Arlington, VA 22209

Dear Mr. Blutstein,

The Office of the Secretary of the Commonwealth is in receipt of your public records request communicated and received on May 16, 2016 requesting "copies of all statements of economic interests and financial disclosure statements filed by Anne Holton."

It came to my attention that the Conflict of Interest and Ethics Advisory Council has fulfilled your public records request. To ensure compliance, I have enclosed the requested documents: Secretary Holton's condition of employment (January 2014), 2014, June 2015, and December 2015 statements of economic interests.

This constitutes the complete response to your request following a diligent and good faith search for the documents requested. No charge is being assessed to fulfill this request.

Sincerely,

A handwritten signature in blue ink, appearing to read "Benjamin Hermerding".

Benjamin Hermerding  
Records Manager

# STATEMENT OF ECONOMIC INTERESTS

|                                                                                                                |                    |                                                                |  |
|----------------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------|--|
| NAME<br><b>ANNE HOLTON</b>                                                                                     |                    | Candidate for Election to this office?<br>___ YES ___ X ___ NO |  |
| OFFICE OR POSITION HELD OR SOUGHT<br><b>SECRETARY OF EDUCATION</b>                                             |                    |                                                                |  |
| AGENCY/BUSINESS NAME<br><b>SECRETARIAT OF EDUCATION</b>                                                        |                    | PHONE<br><b>804.786.1151</b>                                   |  |
| AGENCY/BUSINESS ADDRESS<br><b>1111 EAST BROAD STREET</b>                                                       |                    |                                                                |  |
| CITY<br><b>RICHMOND</b>                                                                                        | STATE<br><b>VA</b> | ZIP<br><b>23219</b>                                            |  |
| NAMES OF MEMBERS OF IMMEDIATE FAMILY<br><b>TIMOTHY M. KAINE, ANNELLA KAINE, LINWOOD KAINE, NATHANIEL KAINE</b> |                    |                                                                |  |

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. **Offices and Directorships.**  YES  NO  
 Are you or a member of your immediate family a paid officer or paid director of a business?  
 If yes, complete Schedule A
2. **Personal Liabilities.**  YES  NO  
 Do you or a member of your immediate family owe more than \$10,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)  
 If yes, complete Schedule B
3. **Securities.**  YES  NO  
 Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000 invested in one business? Account for mutual funds, limited partnerships and trusts.  
 If yes, complete Schedule C
4. **Payment for Talks, Meetings, and Publications.**  YES  NO  
 During the past 12 months did you receive lodging, transportation, money, or anything else of value with a combined value exceeding \$200 for a single talk, meeting, or published work in your capacity as an officer or employee of your agency?  
 If yes, complete Schedule D
5. **Gifts.**  YES  NO  
 During the past 12 months did a business, government, or individual other than a relative or personal friend (i) furnish you with any gift or entertainment at a single event, and the value received by you exceeded \$50 in value or (ii) furnish you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50 in value. Account for all business entertainment (except if related to your private profession or occupation) even if unrelated to your official duties.  
 If yes, complete Schedule E
6. **Salary and Wages.**  
 List each employer that pays you or a member of your immediate family salary or wages in excess of \$10,000 annually. (Exclude state or local government or advisory agencies.) If no reportable salary or wages, check here

**United States Senate**

**SCHEDULE A**  
OFFICES AND DIRECTORSHIPS

N/A

**NAME:** ANNE HOLTON

**OFFICE OR POSITION HELD OR SOUGHT:** SECRETARY OF EDUCATION

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

| NAME OF BUSINESS | ADDRESS OF BUSINESS | POSITION HELD |
|------------------|---------------------|---------------|
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**SCHEDULE C****SECURITIES****NAME: ANNE HOLTON****OFFICE OR POSITION HELD OR SOUGHT: SECRETARY OF EDUCATION**

**"SECURITIES" INCLUDES** stocks, bonds, mutual funds, **"SECURITIES" EXCLUDES** certificates of deposit, money market funds, annuity contracts, and insurance policies.  
**limited partnerships, and commodity futures contracts.**

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$10,000. Name each entity and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, CHECK HERE \_\_\_\_.

| NAME OF ISSUER            | TYPE OF ENTITY | TYPE OF SECURITY<br>(STOCK, BONDS, MUTUAL FUNDS, ETC.) | CHECK ONE                           |                                     |                          |
|---------------------------|----------------|--------------------------------------------------------|-------------------------------------|-------------------------------------|--------------------------|
|                           |                |                                                        | 10,001 to<br>50,000                 | 50,001 to<br>250,000                | More<br>than<br>250,000  |
| Blackrock                 | Mutual Fund    | Mutual Fund                                            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *Solar Capital            | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *American Movil SAB de CV | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *AT&T                     | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *Bristol Myers Squibb     | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *Chevron Corp.            | Company        | Stock                                                  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| *Coca Cola                | Company        | Stock                                                  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| *Duke Energy              | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *Exxon Mobil              | Company        | Stock                                                  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| *General Electric         | Company        | Stock                                                  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| *IBM                      | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *Kimberly Clark           | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| *Kinder Morgan Energy     | Company        | Stock                                                  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| Delaware Diversified      | Mutual Fund    | Mutual Fund                                            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> |
| First Eagle Global        | Mutual Fund    | Mutual Fund                                            | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

\*My three children are each 1/10 beneficiaries of a college savings trust with these reportable holdings.

801  
A874

# SCHEDULE D

## PAYMENT FOR TALKS, MEETINGS, AND PUBLICATIONS

**NAME:** ANNE HOLTON

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**OFFICE OR POSITION HELD OR SOUGHT:** SECRETARY OF EDUCATION

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List each source from which you received during the past 12 months lodging, transportation, money, or any other thing of value (excluding meals or drinks coincident with a meeting) with combined value exceeding \$200 for your presentation of a single talk, participation in one meeting, or publication of a work in your capacity as an officer or employee of your agency.

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about payment if you returned it within 60 days or if you received it from an employer already listed under Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, CHECK HERE \_\_\_\_.

| PAYER                             | APPROXIMATE VALUE | CIRCUMSTANCES                                                                                      | TYPE OF PAYMENT<br>(E.G. HONORARIUM, TRAVEL REIMBURSEMENT, ETC.) |
|-----------------------------------|-------------------|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| VIRGINIA COMMUNITY COLLEGE SYSTEM | 695.16            | TRIP EXPENSE TO MEET WITH KRESGE FOUNDATION (A PROGRAM FUNDER & MICHIGAN FOSTERING SUCCESS PROGRAM | AIRFARE/VEHICLE RENTAL                                           |
|                                   |                   |                                                                                                    |                                                                  |
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**SCHEDULE F**

**BUSINESS INTERESTS**

**N/A**

**NAME: ANNE HOLTON**

**OFFICE OR POSITION HELD OR SOUGHT: SECRETARY OF EDUCATION**

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise give the address of each property. Account for business interests held in trust.

| NAME OF BUSINESS, CORPORATION, PARTNERSHIP, FARM; ADDRESS OF RENTAL PROPERTY | CITY OR COUNTY AND STATE | NATURE OF ENTERPRISE (FARMING, LAW, RENTAL PROPERTY, ETC.) | GROSS INCOME             |                          |                          |
|------------------------------------------------------------------------------|--------------------------|------------------------------------------------------------|--------------------------|--------------------------|--------------------------|
|                                                                              |                          |                                                            | 50,000 or less           | 50,001 to 250,000        | More than 250,000        |
|                                                                              |                          |                                                            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                                                              |                          |                                                            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
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|                                                                              |                          |                                                            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

# SCHEDULE G-2

## PAYMENTS FOR REPRESENTATION BY ASSOCIATES

N/A

NAME: ANNE HOLTON

OFFICE OR POSITION HELD OR SOUGHT: SECRETARY OF EDUCATION

List the business(es) that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of \$1,000 for such representation during the past 12 months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such business by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

| TYPE OF BUSINESS | NAME OF STATE GOVERNMENTAL AGENCY |
|------------------|-----------------------------------|
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# SCHEDULE H-1

REAL ESTATE—STATE OFFICERS AND EMPLOYEES ONLY

N/A

**NAME: ANNE HOLTON**

**OFFICE OR POSITION HELD OR SOUGHT: SECRETARY OF EDUCATION**

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. Each parcel shall be listed individually.

| LIST EACH LOCATION (STATE, AND COUNTY OR CITY) WHERE YOU OWN REAL ESTATE. | DESCRIBE THE TYPE OF REAL ESTATE YOU OWN IN EACH LOCATION (BUSINESS, RECREATIONAL, APARTMENT, COMMERCIAL, OPEN LAND, ETC.) | IF THE REAL ESTATE IS OWNED OR RECORDED IN A NAME OTHER THAN YOUR OWN, LIST THAT NAME. |
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# SCHEDULE I

REAL ESTATE CONTRACTS WITH GOVERNMENT AGENCIES

N/A

**NAME:** ANNE HOLTON

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**OFFICE OR POSITION HELD OR SOUGHT:** SECRETARY OF EDUCATION

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List all contracts, whether pending or completed within the past 12 months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at \$1,000 or more. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

State officers and employees report contracts with state agencies.

Local officers and employees report contracts with local agencies.

List your real estate interest and the person or entity including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

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List each state and the governmental agency which is party to the contract and indicate the county or city where the real estate is located.

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State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the ownership interest you contact.

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# STATEMENT OF ECONOMIC INTERESTS

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|-----------------------------------------------------------------------------------------------|--|----------------------------------------------------------------|---------------------|
| NAME<br><b>Anne Holton</b>                                                                    |  | Candidate for<br>Election to this office?<br><br>___YES__X__NO |                     |
| OFFICE OR POSITION<br>HELD OR SOUGHT<br><b>Secretary of Education</b>                         |  |                                                                |                     |
| AGENCY/BUSINESS NAME<br><b>Office of the Secretary of Education</b>                           |  | PHONE<br><b>804-786-1151</b>                                   |                     |
| AGENCY/BUSINESS ADDRESS<br><b>Patrick Henry Building, 1111 E. Broad Street</b>                |  |                                                                |                     |
| CITY<br><b>Richmond</b>                                                                       |  | STATE<br><b>VA</b>                                             | ZIP<br><b>23219</b> |
| NAMES OF MEMBERS OF IMMEDIATE FAMILY<br><b>Timothy M. Kaine, Annella Kaine, Linwood Kaine</b> |  |                                                                |                     |

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. **Offices and Directorships**  
Are you or a member of your immediate family a paid officer or paid director of a business?  
**If yes, complete Schedule A** YES [NO]
  
2. **Personal Liabilities**  
Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.)  
**If yes, complete Schedule B** YES [NO]
  
3. **Securities**  
Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts.  
**If yes, complete Schedule C** [YES] NO
  
4. **Payment for Talks, Meetings, and Publications**  
During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency?  
**If yes, complete Schedule D** [YES] NO
  
5. **Gifts**  
During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties.  
**If yes, complete Schedule E** [YES] NO
  
6. **Salary and Wages**  
List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.) If no reportable salary or wages, check here

Statement of Economic Interests

**SCHEDULE C**  
SECURITIES

NAME:

**Anne Holton**

OFFICE OR POSITION HELD OR SOUGHT:

**Secretary of Education****"SECURITIES"** INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.**"SECURITIES"** EXCLUDES certificates of deposit money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, CHECK HERE \_\_\_\_.

| NAME OF ISSUER         | TYPE OF SECURITY<br>(STOCK, BONDS, MUTUAL, ETC.) | CHECK ONE          |                         |                         |
|------------------------|--------------------------------------------------|--------------------|-------------------------|-------------------------|
|                        |                                                  | 5,001 to<br>50,000 | 50,001<br>to<br>250,000 | More<br>than<br>250,000 |
| Blackrock              | Mutual Fund                                      | X                  |                         |                         |
| Solar Capital          | Stock                                            | X                  |                         |                         |
| Kimberly Clark         | Stock                                            | X                  |                         |                         |
| Kinder Morgan Energy   | Stock                                            | X                  |                         |                         |
| First Eagle Global     | Mutual Fund                                      |                    | X                       |                         |
| Franklin Mutual        | Mutual Fund                                      |                    | X                       |                         |
| Loomis Sayles Bond     | Mutual Fund                                      |                    | X                       |                         |
| MFS Diversified        | Mutual Fund                                      | X                  |                         |                         |
| MFS Emerging Markets   | Mutual Fund                                      | X                  |                         |                         |
| Oppenheimer Developing | Mutual Fund                                      | X                  |                         |                         |

| PAYER                          | APPROXIMATE VALUE | CIRCUMSTANCES                                            | TYPE OF PAYMENT (E.G. HONORARIUM, TRAVEL REIMBURSEMENT, ETC.) |
|--------------------------------|-------------------|----------------------------------------------------------|---------------------------------------------------------------|
| National Governors Association | \$225             | Attended NGA, A&S 2014 Winter Meeting                    | Registration Fees                                             |
| U.S. Senate                    | unknown           | Congressional Delegation Trip to Tunisia, Morocco, Spain | Travel -- see additional information                          |

Statement of Economic Interests

# SCHEDULE E

## GIFTS

NAME:

**Anne Holton**

OFFICE OR POSITION HELD OR SOUGHT:

**Secretary of Education**

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100; and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 ( 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

| NAME OF BUSINESS ORGANIZATION OR INDIVIDUAL  | CITY OR COUNTY AND STATE | EXACT GIFT OR EVENT              | APPROXIMATE VALUE |
|----------------------------------------------|--------------------------|----------------------------------|-------------------|
| Virginia Bar Association                     | Williamsburg, VA         | Dinner                           | \$85              |
| Virginia Tech Foundation                     | Richmond, VA             | Reception and Dinner             | \$128             |
| Virginia Union University                    | Richmond, VA             | Flowers                          | \$75              |
| George Chuck and the Hess Construction Team  | Richmond, VA             | Flowers                          | \$75              |
| Tony Bush                                    | Washington, DC           | Dinner                           | \$75              |
| Virginia Museum of Fine Arts                 | Richmond, VA             | Dinner                           | \$95              |
| Virginia Tech                                | Richmond, VA             | Dinner                           | \$75              |
| Charlottesville Regional Chamber of Commerce | Charlottesville, VA      | Fruit Basket and Gift Card       | \$55              |
| Chinese Embassy                              | Richmond, VA             | Book and Disc-donated to the LVA | \$168             |
| U.S. Senate                                  | Washington, DC           | Dinner                           | \$65              |
| Israeli Ambassador-Ron Dermer                | Washington, DC           | Dinner-Rosh Hashanah celebration | \$75              |

## ATTACHMENTS

# STATEMENT OF ECONOMIC INTERESTS

|                                                                                |  |                                                                       |                     |
|--------------------------------------------------------------------------------|--|-----------------------------------------------------------------------|---------------------|
| NAME<br><b>Anne Holton</b>                                                     |  | Candidate for<br>Election to this office?<br><br>___ YES ___ X ___ NO |                     |
| OFFICE OR POSITION<br>HELD OR SOUGHT<br><b>Secretary of Education</b>          |  |                                                                       |                     |
| AGENCY/BUSINESS NAME<br><b>Office of the Secretary of Education</b>            |  | PHONE<br><b>(804) 786-1151</b>                                        |                     |
| AGENCY/BUSINESS ADDRESS<br><b>1111 E. Broad Street</b>                         |  |                                                                       |                     |
| CITY<br><b>Richmond</b>                                                        |  | STATE<br><b>VA</b>                                                    | ZIP<br><b>23219</b> |
| NAMES OF MEMBERS OF IMMEDIATE FAMILY<br><b>Timothy M. Kaine, Annella Kaine</b> |  |                                                                       |                     |

COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.

You may attach additional explanatory information.

1. **Offices and Directorships**  
Are you or a member of your immediate family a paid officer or paid director of a business?  
**If yes, complete Schedule A** YES [NO]
  
2. **Personal Liabilities.**  
Do you or a member of your immediate family owe more than \$5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.) YES [NO]  
**If yes, complete Schedule B**
  
3. **Securities.**  
Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000 invested in one business? Account for mutual funds, limited partnerships and trusts. [YES] NO  
**If yes, complete Schedule C**
  
4. **Payment for Talks, Meetings, and Publications**  
During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency? [YES] NO  
**If yes, complete Schedule D**
  
5. **Gifts**  
During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties. [YES] NO  
**If yes, complete Schedule E**
  
6. **Salary and Wages.**  
List each employer that pays you or a member of your immediate family salary or wages in excess of \$5,000 annually. (Exclude state or local government or advisory agencies.) If no reportable salary or wages, check here

## Statement of Economic Interests

**SCHEDULE C**

## SECURITIES

## NAME:

Anne Holton

## OFFICE OR POSITION HELD OR SOUGHT:

Secretary of Education

"SECURITIES" INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts. "SECURITIES" EXCLUDES certificates of deposit money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, CHECK HERE \_\_\_\_.

| NAME OF ISSUER       | TYPE OF SECURITY<br>(STOCK, BONDS, MUTUAL, ETC.) | CHECK ONE          |                         |                         |
|----------------------|--------------------------------------------------|--------------------|-------------------------|-------------------------|
|                      |                                                  | 5,001 to<br>50,000 | 50,001<br>to<br>250,000 | More<br>than<br>250,000 |
| Bristol Myers Squibb | Stock                                            | X                  |                         |                         |
| Chevron Corp.        | Stock                                            | X                  |                         |                         |
| Coca Cola            | Coca Cola                                        | X                  |                         |                         |
| Exxon Mobil          | Stock                                            | X                  |                         |                         |
| General Electric     | Stock                                            | X                  |                         |                         |
| First Eagle Global   | Mutual Fund                                      |                    | X                       |                         |
| Franklin Mutual      | Mutual Fund                                      |                    | X                       |                         |
| Loomis Sayles Bond   | Mutual Fund                                      |                    | X                       |                         |
| MFS Diversified      | Mutual Fund                                      | X                  |                         |                         |
| MFS Emerging Markets | Mutual Fund                                      | X                  |                         |                         |

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100; and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (' 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

| NAME OF BUSINESS ORGANIZATION OR INDIVIDUAL | CITY OR COUNTY AND STATE | EXACT GIFT OR EVENT                           | APPROXIMATE VALUE                                    |
|---------------------------------------------|--------------------------|-----------------------------------------------|------------------------------------------------------|
| Virginia Chamber of Commerce                | Williamsburg, VA         | Summit                                        | \$75                                                 |
| Lisa Roday                                  | Richmond, VA             | Auschwitz Commemoration Reception and Concert | \$75                                                 |
| Wilder School, VCU Dean                     | Richmond, VA             | Basketball game                               | \$60                                                 |
| Virginia Rural Center                       | Richmond, VA             | Reception and Dinner                          | \$68.98                                              |
| University of Virginia                      | Charlottesville, VA      | Basketball game                               | \$60                                                 |
| Motor Trend Auto Shows                      | Richmond, VA             | Auto Show tickets                             | \$750 - tickets donated to VDOE for RPS CTE students |
| TedxRVA                                     | Richmond, VA             | Tedtalk guest tickets to attend my speech     | \$150 (3 tickets at \$50 each)                       |
| Equality Virginia                           | Richmond, VA             | Reception                                     | \$50                                                 |
| Virginia Legislative Democratic Caucus      | Richmond, VA             | Reception                                     | \$54.51                                              |

## ATTACHMENTS

# STATEMENT OF ECONOMIC INTERESTS

|                                                                                |  |                                                                       |                     |
|--------------------------------------------------------------------------------|--|-----------------------------------------------------------------------|---------------------|
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If yes, complete Schedule B
3. **Securities**  
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If yes, complete Schedule C
4. **Payment for Talks, Meetings, and Publications**  
During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding \$200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency? [YES] NO  
  
If yes, complete Schedule D
5. **Gifts**  
During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded \$50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded \$100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded \$50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties [YES] NO  
  
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Statement of Economic Interests

**SCHEDULE C****SECURITIES**

NAME:

**Anne Holton**

OFFICE OR POSITION HELD OR SOUGHT:

**Secretary of Education**

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Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of \$5,000. Name each issuer and type of security individually.

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| NAME OF ISSUER       | TYPE OF SECURITY<br>(STOCK, BONDS, MUTUAL, ETC.) | CHECK ONE          |                         |                         |
|----------------------|--------------------------------------------------|--------------------|-------------------------|-------------------------|
|                      |                                                  | 5,001 to<br>50,000 | 50,001<br>to<br>250,000 | More<br>than<br>250,000 |
| Bristol Myers Squibb | Stock                                            | X                  |                         |                         |
| Loomis Sayles Bond   | Mutual Fund                                      | X                  |                         |                         |
| Chevron Corp.        | Stock                                            | X                  |                         |                         |
| Vanguard Small Cap   | Stock                                            | X                  |                         |                         |
| Vanguard Mid Cap     | Stock                                            | X                  |                         |                         |
| Coca Cola            | Stock                                            | X                  |                         |                         |
| Exxon Mobil          | Stock                                            | X                  |                         |                         |
| General Electric     | Stock                                            |                    | X                       |                         |
| Kimberly Clark       | Stock                                            | X                  |                         |                         |
| First Eagle Global   | Mutual Fund                                      |                    | X                       |                         |

**OFFICE OR POSITION HELD OR SOUGHT:**  
**Secretary of Education**

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| NAME OF BUSINESS ORGANIZATION OR INDIVIDUAL | CITY OR COUNTY AND STATE | EXACT GIFT OR EVENT                                                | APPROXIMATE VALUE                                       |
|---------------------------------------------|--------------------------|--------------------------------------------------------------------|---------------------------------------------------------|
| Virginia Lawyers Media                      | Richmond, VA             | Engraved vase - Influential Women of VA awards ceremony            | \$70                                                    |
| Piedmont Virginia Community College         | Charlottesville, VA      | Framed photo of PVCC - Commencement speaker                        | \$75                                                    |
| The Community Foundation                    | Richmond, VA             | Reception honoring Darcy Oman                                      | \$50.25                                                 |
| Council of Presidents                       | Richmond, VA             | Dinner with College Presidents                                     | 52.00                                                   |
| Virginia Forever                            | Richmond, VA             | Bridge Builder dinner honoring spouse                              | \$78.73                                                 |
| Appalachian Trail Conservancy               | Harpers Ferry, WV        | Framed map gift to spouse                                          | \$100.00                                                |
| Virginia Hispanic Chamber of Commerce       | Richmond, VA             | Hispanic Gala                                                      | \$145.00                                                |
| George Mason University                     | Fairfax, VA              | Engraved distinguished service award trophy - Commencement speaker | \$154.18                                                |
| Kaine for Virginia                          | Middleburg, VA           | Fundraising reception honoring spouse                              | \$91.00                                                 |
| Common Ground PAC and Kaine for Virginia    | Williamsburg, VA         | Various travel, lodging and meals for spouse                       | Refer to PAC's federal campaign finance law disclosures |
| Common Ground PAC                           | Middleburg, VA           | Retreat with spouse                                                | \$496.00                                                |

**ATTACHMENTS**