United States Senate
Financial Disclosures

Annual Report for Calendar 2015 (Amendment 1)

The Honorable Timothy M Kaine (Kaine, Tim)

Filed 08/30/2016 @ 11:14 AM

The following statements were checked before filing:

- [x] I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- [x] I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- [ ] I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Commonwealth of Virginia Richmond, VA	> $1,000

Part 3. Assets ✱ Amended

Did you, your spouse, or dependent child own any asset worth more than $1000, have a deposit account with a balance over $5,000, or receive income of more than $200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	**IRA Managed by Morgan Stanley**	Retirement Plans IRA	Spouse			
1.1	**SGENX - First Eagle Global A (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
2	**Morgan Stanley Consultant Advisor Account**	Brokerage/Managed Account	Joint			
2.1	**LSBDX - Loomis Sayles Bond Instl (NASDAQ)**	Mutual Funds Mutual Fund	Joint	$1,001 - $15,000	Excepted Investment Fund,	$201 - $1,000
2.2	**TGBAX - Templeton Global Bond Adv (NASDAQ)**	Mutual Funds Mutual Fund	Joint	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
2.3	**OAKBX - Oakmark Equity & Income I (NASDAQ)**	Mutual Funds Mutual Fund	Joint	$1,001 - $15,000	Excepted Investment Fund,	$201 - $1,000
3	**GE - General Electric Company (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,	$1,001 - $2,500
4	**Wells Fargo** (Richmond, VA) *Type:* Checking,	Bank Deposit	Joint	$50,001 - $100,000	Interest,	None (or less than $201)
5	**IRA managed by Morgan Stanley**	Retirement Plans IRA	Self			
5.1	**SGIIX - First Eagle Global I (NASDAQ)**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
5.2	**MDISX - Franklin Mutual Global Discovery Z (NASDAQ)**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
5.3	**LSBDX - Loomis Sayles Bond Instl (NASDAQ)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
5.4	**MEDIX - MFS Emerging Markets Debt I (NASDAQ)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
5.5	**ODVYX - Oppenheimer Developing Markets Y** *Filer comment:* Reported previously in years 2012-2014 as Oppenheimer Developing Markets A instead of Oppenheimer Developing Markets Y	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
5.6	**TGBAX - Templeton Global Bond Adv (NASDAQ)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
5.7	**VWINX - Vanguard Wellesley Income Inv (NASDAQ)**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
5.8	**VO - Vanguard Mid-Cap ETF**	Mutual Funds Exchange Traded Funds/Notes	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
5.9	**VB - Vanguard Small-Cap ETF**	Mutual Funds Exchange Traded Funds/Notes	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
6	**Fidelity UTMA Account**	UGMA/UTMA Account	Child			
6.1	**FGRIX - Fidelity Growth & Income Portfolio (NASDAQ)**	Mutual Funds Mutual Fund	Child	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
7	**Virginia R. Holton Grandchildren's Trust** *Filer comment:* The assets in this trust are held for our three children and their 7 cousins. The values represent total trust holdings.	Trust General (Trust)	Child			
7.1	**AMX - America Movil S.A.B. de C.V.**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	$201 - $1,000
7.2	**T - AT&T, Inc. (NYSE)**	Corporate Securities Stock	Child	$15,001 - $50,000	Dividends,	$1,001 - $2,500
7.3	**BMY - Bristol-Myers Squibb Company (NYSE)**	Corporate Securities Stock	Child	$50,001 - $100,000	Dividends,	$1,001 - $2,500
7.4	**CVX - Chevron Corporation (NYSE)**	Corporate Securities Stock	Child	$50,001 - $100,000	Dividends,	$2,501 - $5,000
7.5	**KO - The Coca-Cola Company (NYSE)**	Corporate Securities Stock	Child	$100,001 - $250,000	Dividends,	$2,501 - $5,000
7.6	**DUK - Duke Energy Corporation (NYSE)**	Corporate Securities Stock	Child	$15,001 - $50,000	Dividends,	$1,001 - $2,500
7.7	**XOM - Exxon Mobil Corporation (NYSE)**	Corporate Securities Stock	Child	$50,001 - $100,000	Dividends,	$1,001 - $2,500
7.8	**GE - General Electric Company (NYSE)**	Corporate Securities Stock	Child	$50,001 - $100,000	Dividends,	$1,001 - $2,500
7.9	**IBM - International Business Machines Corporation (NYSE)**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	$201 - $1,000
7.10	**KMB - Kimberly-Clark Corporation (NYSE)**	Corporate Securities Stock	Child	$50,001 - $100,000	Dividends,	$1,001 - $2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
7.11	**KMI - Kinder Morgan, Inc.** *Filer comment:* KMI acquired Kinder Morgan Energy Partners L.P. in late 2014	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	$1,001 - $2,500
7.12	**SPLS - Staples, Inc. (NASDAQ)**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	None (or less than $201)
7.13	**TXT - Textron Inc. (NYSE)**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	None (or less than $201)
7.14	**TICC - TICC Capital Corp. (NASDAQ)**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	$201 - $1,000
7.15	**Morgan Stanley Bank, N.A.** (New York, NY) *Type:* Savings,	Bank Deposit	Child	$15,001 - $50,000	Interest,	None (or less than $201)
7.16	**ACINX - Columbia Acorn International Z** *Filer comment:* Reported previously in years 2012-2014 as Columbia Acorn International Select instead of Columbia Acorn International Z.	Mutual Funds Mutual Fund	Child	$1,001 - $15,000	Excepted Investment Fund,	$201 - $1,000
7.17	**FRVAX - Franklin VA Tax-Free Income A (NASDAQ)**	Mutual Funds Mutual Fund	Child	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
7.18	**HYH - Halyard Health, Inc. (NYSE)**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	None (or less than $201)
7.19	**SLRC - Solar Capital Ltd.**	Corporate Securities Stock	Child	$1,001 - $15,000	Dividends,	$1,001 - $2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
8	**Virginia Retirement System**	Retirement Plans Defined Benefit Pension Plan	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
9	**Virginia Retirement System**	Retirement Plans Defined Benefit Pension Plan	Spouse	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
10	**Commonwealth of Virginia 457 Deferred Compensation Plan**	Deferred Compensation Deferred Compensation - Other	Spouse			
10.1	**Target Date 2030 Portfolio**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded $1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	BPRIX	BlackRock Inflation Protected Bond Instl	Sale (Full)	02/03/2015	$15,001 - $50,000	--
2	Self	TGBAX	Templeton Global Bond Adv	Sale (Partial)	02/03/2015	$15,001 - $50,000	--
3	Self	RYSEX	Royce Special Equity Invmt	Sale (Full)	02/03/2015	$15,001 - $50,000	--
4	Self	LSBDX	Loomis Sayles Bond Instl	Purchase	02/04/2015	$15,001 - $50,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
5	Self	VO	Vanguard Mid-Cap ETF	Purchase	02/04/2015	$15,001 - $50,000	--
6	Self	VB	Vanguard Small-Cap ETF	Purchase	02/04/2015	$15,001 - $50,000	--
7	Self	SGIIX	First Eagle Global I	Purchase	07/13/2015	$1,001 - $15,000	--
8	Self	LSBDX	Loomis Sayles Bond Instl	Sale (Partial)	07/13/2015	$15,001 - $50,000	--
9	Self	MDISX	Franklin Mutual Global Discovery Z	Purchase	07/13/2015	$1,001 - $15,000	--
10	Self	ODVYX	Oppenheimer Developing Markets Y	Purchase	07/13/2015	$1,001 - $15,000	--
11	Self	VO	Vanguard Mid-Cap ETF	Purchase	07/13/2015	$1,001 - $15,000	--
12	Self	VB	Vanguard Small-Cap ETF	Purchase	07/13/2015	$1,001 - $15,000	--
13	Self	DIFIX	MFS Diversified Income I	Sale (Full)	07/14/2015	$15,001 - $50,000	--
14	Self	SGIIX	First Eagle Global I	Purchase	07/15/2015	$1,001 - $15,000	--
15	Self	MEDIX	MFS Emerging Markets Debt I	Purchase	07/15/2015	$1,001 - $15,000	--
16	Self	MDISX	Franklin Mutual Global Discovery Z	Purchase	07/15/2015	$1,001 - $15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **Yes**

#	Date(s)	Traveler(s)	Travel Type	Itinerary	Reimbursed For	Who Paid	Comment

#	Date(s)	Traveler(s)	Travel Type	Itinerary	Reimbursed For	Who Paid	Comment
1	09/17/2015 to 09/20/2015	Self, Spouse	Outside activity	DC to St. Augustine Florida and return	Flights, lodging, ground transportation, and meals	United States - Spain Council Falls Church, Virginia	

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than $10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	4% (15 years)	$50,001 - $100,000	Wells Fargo San Francisco, CA	Mortgage on personal residence

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2010 to present	Other (Board Member)	Myotonic Dystrophy Foundation San Francisco, CA	Nonprofit Organization	Volunteer
2	Nov 2013 to present	Other (Honorary Chairman)	United States Spain Council Falls Church, Virginia	Nonprofit Organization	

Part 9. Agreements ✱ Amended

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Jan 2010	Virginia Retirement System Richmond, VA	Continuing participation in an employee benefit plan	Defined benefit retirement plan

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than $5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.