



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Ed Perlmutter
Status: Member
State/District: CO07

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2015
Filing Date: 10/3/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|--------------------|-------------------------------------|
| 401 K Plan ⇒ Longleaf Partners Fund | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| 401 K Plan ⇒ Perkins Mid Cap Value | | None | None | | <input type="checkbox"/> |
| 401 K Plan ⇒ Sterling Capital Equity | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| 401 K Plan ⇒ Tweedy Brown Global Fund | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| 401 K Plan ⇒ Vanguard 500 Index | | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Individual Retirement Account ⇒ Permanent Portfolio | SP | None | None | | <input checked="" type="checkbox"/> |
| LAP, Inc ⇒ Elk Petroleum LLC | | None | None | | <input checked="" type="checkbox"/> |

LOCATION: Denver/Denver, CO, US

DESCRIPTION: LAP, Inc owning a 50% ownership interest in Elk Petroleum, working interests in Montana and Texas

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|--------------------|-------------------------------------|
| LAP, Inc ⇒ GlaxoSmithKline PLC (GSK) | | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LAP, Inc ⇒ Piper Jaffray Companies (PJC) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LAP, Inc ⇒ RBC Dain Rauscher Money Market | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LAP, Inc ⇒ Stanley Black & Decker, Inc. (SWK) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| LAP, Inc ⇒ US Bancorp Del DEPOSITARY SH S G (USB\$N) | | \$50,001 - \$100,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LAP, Inc ⇒ Working Interest in 7 oil and gas wells | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LOCATION: Weld County, CO, US | | | | | |
| DESCRIPTION: Drilling of seven oil and gas wells in Weld County Colorado | | | | | |
| NEA Valuebuilder Annuity | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: NEA Valuebuilder Variable Annuity | | | | | |
| Presidential Brokerage Money Market | SP | None | None | | <input checked="" type="checkbox"/> |
| Wells Fargo Money Market Savings | SP | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Westerra Credit Union | SP | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| ZLDR, LLC | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: 25% interest in ZLDR partnership owning 95 acres +/- in Evanston, Wyoming | | | | | |

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---------------------------------------|-------|-----------|----------|---------|--------------------------|
| 401 K Plan ⇒ Perkins Mid Cap Value | | 10/1/2015 | S | \$24.00 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|--------------------------|--------------------------|
| 401 K Plan ⇒ Vanguard 500 Index DESCRIPTION: Reinvest of dividends earned in 2015 | | Quarterly | P | \$1,001 - \$15,000 | |
| 401 K Plan ⇒ Vanguard 500 Index | | Quarterly | S (partial) | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Individual Retirement Account ⇒ Permanent Portfolio | SP | 01/29/2015 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Individual Retirement Account ⇒ Presidential Brokerage Money Market | SP | 01/29/2015 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| LAP, Inc ⇒ Elk Petroleum LLC LOCATION: Denver/Denver, CO, US DESCRIPTION: Lap Inc's interest in Elk Petroleum was liquidated at the end of 2015 | | 12/31/2015 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|-----------------------------|---------------|--------|
| Jefferson County Schools R1 | Spouse Salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|----------|---------------|---------------|-----------------------|
| JT | Chase | October 2013 | Home Mortgage | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|---------------------|--------------------------|
| Member | ZLDR Ventures, LLC |
| Trustee | Sweeney Family Trust |
| Shareholder-officer | Lap, Inc |
| Shareholder | Berenbaum Weinshienk, PC |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 401 K Plan
- Individual Retirement Account (Owner: SP)
- LAP, Inc (10% Interest)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ed Perlmutter , 10/3/2016